Edgar Filing: EnerSys - Form 4/A

EnerSys

| Form 4/A | | | | | | | | |
|---|---|---|---|---|--|---|--|--|
| January 04, 2 | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | OMB APPROVAL | | |
| | Washington, D.C. 20549 | | | | | 3235-0287 | | |
| Check th if no long | ner | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | |
| subject to Section 1 Form 4 c | $\mathbf{SIAIEVIENI}$ | | | | | | | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Form 5 obligations May continue. See Instruction Form 5 See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 105 105 105 105 105 105 105 10 | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | |
| 1. Name and A MARLO D | Address of Reporting Person <u>*</u> ENNIS S | 2. Issuer Name an Symbol EnerSys [ENS] | d Ticker or Trading | 5. Relationship of Issuer | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (Middle) | • | 3. Date of Earliest Transaction (1 | | | eck all applicable) | | |
| C/O ENER | SYS, 2366 BERNVILLE | (Month/Day/Year) | Tansaction | - | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| ROAD | | | | , | , | | | |
| | (Street) | 4. If Amendment, D Filed(Month/Day/Yea 10/13/2016 | - | Applicable Line) | _X_ Form filed by One Reporting Person | | | |
| READING, | PA 19605 | 10/10/2010 | | Form filed by M Person | orm filed by More than One Reporting | | | |
| (City) | (State) (Zip) | Table I - Non- | Derivative Securitie | es Acquired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | any | | 4. Securities Acqu or(A) or Disposed o (Instr. 3, 4 and 5) (A) | of (D) Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| C | | Code V | | Price (Instr. 3 and 4) | | | | |
| Common Stock | 10/11/2016 | А | $138 \frac{(1)}{10} A = \frac{\$}{6}$ | 50,562.8507 | D | | | |
| Common Stock | 10/11/2016 | А | 27 <u>(2)</u> A \$ | 50,589.8507 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| MARLO DENNIS S C/O ENERSYS 2366 BERNVILLE ROAD READING, PA 19605 | Х | | | | | | |
| Signatures | | | | | | | |
| Karen J. Yodis, by Power of Attorney | 01/04/2017 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| Explanation of Responses: | | | | | | | |

pianalion or nesponses.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- In lieu of receiving cash fees, the reporting person received 138 stock units, which immediately vested, in the EnerSys Voluntary (1)Deferred Compensation Plan for Non-Employee Directors (the "Plan").
- This amount reflects a matching stock unit contribution by EnerSys for the reporting person's account in the Plan. The matching stock (2) unit contribution vests 25% on each of January 11, 2017, April 11, 2017, July 11, 2017, and October 11, 2017. Such vesting is subject to acceleration or cancellation upon the occurrence of certain events.
- As a result of these transactions the reporting person has an additional 165 stock units in the Plan. Each of these stock units represents a (3) right to receive one share of EnerSys common stock and is payable upon the reporting person's Termination, as defined in the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.