

FORD MOTOR CO
Form 5
February 16, 2016

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Thai-Tang Hau N

(Last) (First) (Middle)

ONE AMERICAN ROAD

(Street)

DEARBORN, MI 48126

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FORD MOTOR CO [F]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Group Vice President

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| | | | | (A) or (D) Amount Price | | | |
| Common Stock, \$0.01 par value | 03/27/2014 | | G | 17,440 D \$ 0 | 0 ⁽¹⁾ | D | |
| Common Stock, \$0.01 par value | 03/31/2015 | | G | 7,463 D \$ 0 | 0 ⁽¹⁾ | D | |
| Common | 03/27/2014 | | G | 17,440 A \$ 0 | 113,718 ⁽²⁾ | I | By Spouse |

Edgar Filing: FORD MOTOR CO - Form 5

| | | | | | | | | | |
|---|------------|---|---|-------|---|------|-------------|---|--------------------------------|
| Stock, \$0.01 par value | | | | | | | | | as Trustee (2) |
| Common Stock, \$0.01 par value | 11/07/2014 | Â | G | 600 | D | \$ 0 | 113,718 (2) | I | By Spouse as Trustee (2) |
| Common Stock, \$0.01 par value | 03/31/2015 | Â | G | 7,463 | A | \$ 0 | 113,718 (2) | I | By Spouse as Trustee (2) |
| Common Stock, \$0.01 par value | Â | Â | Â | Â | Â | Â | 3,828 | I | By Company Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8 |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| BEP Ford Stock Fund Units | Â | Â | Â | Â | Â Â | Â (3) | Â (3) | Common Stock, \$0.01 par value | 77 (3) |
| Employee Stock Option (Right to Buy) | \$ 7.83 | Â | Â | Â | Â Â | Â (4) | 03/09/2016 | Common Stock, \$0.01 par value | 30,000 (1) (4) |
| Employee Stock Option | \$ 7.55 | Â | Â | Â | Â Â | Â (5) | 03/04/2017 | Common Stock, \$0.01 par | 23,123 (5) |

Edgar Filing: FORD MOTOR CO - Form 5

| | | | | | | | | | | | |
|--------------------------------------|----------|---|---|---|---|---|---|---------------|---------------|--------------------------------|--------------------|
| (Right to Buy) | | | | | | | | | | value | |
| Employee Stock Option (Right to Buy) | \$ 6.14 | Â | Â | Â | Â | Â | Â | Â <u>(6)</u> | 03/04/2018 | Common Stock, \$0.01 par value | 33,547 <u>(6)</u> |
| Employee Stock Option (Right to Buy) | \$ 12.69 | Â | Â | Â | Â | Â | Â | Â <u>(7)</u> | 03/02/2020 | Common Stock, \$0.01 par value | 14,919 <u>(7)</u> |
| Employee Stock Option (Right to Buy) | \$ 14.76 | Â | Â | Â | Â | Â | Â | Â <u>(8)</u> | 03/02/2021 | Common Stock, \$0.01 par value | 9,734 <u>(8)</u> |
| Employee Stock Option (Right to Buy) | \$ 12.46 | Â | Â | Â | Â | Â | Â | Â <u>(9)</u> | 03/04/2022 | Common Stock, \$0.01 par value | 37,414 <u>(9)</u> |
| Employee Stock Option (Right to Buy) | \$ 12.75 | Â | Â | Â | Â | Â | Â | Â <u>(10)</u> | 03/03/2023 | Common Stock, \$0.01 par value | 29,821 <u>(10)</u> |
| Employee Stock Option (Right to Buy) | \$ 15.37 | Â | Â | Â | Â | Â | Â | Â <u>(11)</u> | 03/03/2024 | Common Stock, \$0.01 par value | 77,741 <u>(11)</u> |
| Ford Stock Units | Â | Â | Â | Â | Â | Â | Â | Â <u>(12)</u> | Â <u>(12)</u> | Common Stock, \$0.01 par value | 34,310 <u>(12)</u> |
| Ford Stock Units | Â | Â | Â | Â | Â | Â | Â | Â <u>(13)</u> | Â <u>(13)</u> | Common Stock, \$0.01 par value | 84,368 <u>(13)</u> |
| Ford Stock Units | Â | Â | Â | Â | Â | Â | Â | Â <u>(14)</u> | Â <u>(14)</u> | Common Stock, \$0.01 par value | 35,294 <u>(14)</u> |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Thai-Tang Hau N ONE AMERICAN ROAD DEARBORN, MI 48126 | Â | Â | Â Group Vice President | Â |

Signatures

Jerome F. Zaremba,
Attorney-in-Fact

02/16/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The balance reported is as of December 31, 2015. A transaction involving this holding was reported on a Form 4 filed on January 28, 2016.
- (2) These shares are held by my spouse as Trustee. As shown, the trust holds 113,718 shares of Ford Common stock.
- (3) These Ford Stock Fund Units were credited to my account by the Company, without payment by me, in transactions exempt under Rule 16b-3(c), under the Company's Benefit Equalization Plan, and included in my most recent plan statement. In general, these Ford Stock Fund Units will be converted and distributed to me, without payment, in cash, following termination of employment, based on the then current price of a Ford Stock Fund Unit and the then current market value of a share of Common Stock.
- (4) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/10/2006), 66% after two years, and in full after three years.
- (5) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2007), 66% after two years, and in full after three years.
- (6) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2008), 66% after two years, and in full after three years.
- (7) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2010), 66% after two years, and in full after three years.
- (8) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- (9) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
- (10) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (11) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2014), 66% after two years, and in full after three years.
- (12) These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/04/2015), 66% after two years, and in full after three years.
- (13) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2017.
- (14) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.