

NUVASIVE INC
Form 4
February 03, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Blackford Quentin S.

(Last) (First) (Middle)

7475 LUSK BLVD.

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

3. Date of Earliest Transaction
(Month/Day/Year)
02/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CFO and CAO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	02/01/2016		M		1,250 A \$ 0	7,427 ⁽¹⁾	D
Common Stock	02/01/2016		M		5,339 A \$ 0	12,766 ⁽¹⁾	D
Common Stock	02/01/2016		M		3,193 A \$ 0	15,959 ⁽¹⁾	D
Common Stock	02/01/2016		F		3,674 ⁽²⁾ D \$ 46.6	12,285 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 3)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
RSUs ⁽³⁾	\$ 0	02/01/2016		M	1,250	<u>(4)</u>	<u>(4)</u>	Common Stock	1,250	\$ 0
RSUs ⁽³⁾	\$ 0	02/01/2016		M	5,339	<u>(5)</u>	<u>(5)</u>	Common Stock	5,339	\$ 0
PRSUs ⁽⁶⁾	\$ 0	01/26/2016		M	3,193	<u>(7)</u>	<u>(7)</u>	Common Stock	3,193	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Blackford Quentin S. 7475 LUSK BLVD. SAN DIEGO, CA 92121			CFO and CAO	

Signatures

/s/ Jason M. Hannon, Attorney-in-Fact for Quentin Blackford

02/03/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to previously disclosed Issuer equity awards.
- (2) Shares withheld by the Issuer to satisfy tax withholding obligations.

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- (3) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (4) This RSU award was granted to the Reporting Person on February 27, 2012. In accordance with the terms of the RSU award, the award vested as to 1,250 shares on each of February 1, 2013, February 1, 2014, February 1, 2015 and February 1, 2016.
- This RSU award was granted to the Reporting Person on February 26, 2013. In accordance with the terms of the RSU award, the award
- (5) vested as to 5,340 shares on February 1, 2014, 5,399 shares on each of February 1, 2015 and February 1, 2016 and will vest as to 5,399 shares February 1, 2017.
- (6) Each Performance Restricted Stock Unit ("PRSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- This PRSU award was granted to the Reporting Person on February 17, 2015. In accordance with the terms of the PRSU award, the award
- (7) vested as to 3,193 shares on February 1, 2016 and will vest as to 3,192 shares on each of February 1, 2017, February 1, 2018 and February 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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