

FIBROGEN INC  
Form 4  
December 10, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MADERO MIGUEL

(Last) (First) (Middle)

C/O FIBROGEN, INC., 409  
ILLINOIS ST.

(Street)

SAN FRANCISCO, CA 94158

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FIBROGEN INC [FGEN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/08/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock                    | 12/08/2015                           |  | M                              |   | 6,000   | A  | \$ 5.95                           |
| Common Stock                    | 12/08/2015                           |  | M                              |   | 12,000  | A  | \$ 2.35                           |
| Common Stock                    | 12/08/2015                           |  | M                              |   | 12,000  | A  | \$ 3.6                            |
| Common Stock                    | 12/08/2015                           |  | M                              |   | 12,000  | A  | \$ 18                             |
| Common Stock                    | 12/08/2015                           |  | M                              |   | 12,000  | A  | \$ 3.5                            |

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|              |            |  |   |                      |   |                        |         |   |                  |
|--------------|------------|--|---|----------------------|---|------------------------|---------|---|------------------|
| Common Stock | 12/08/2015 |  | M | 12,000               | A | \$ 9.775               | 78,000  | D |                  |
| Common Stock | 12/08/2015 |  | M | 6,000                | A | \$ 18.34               | 84,000  | D |                  |
| Common Stock | 12/08/2015 |  | M | 12,000               | A | \$ 2.9                 | 96,000  | D |                  |
| Common Stock | 12/08/2015 |  | S | <u>40,386</u><br>(1) | D | <u>\$ 30.49</u><br>(2) | 55,614  | D |                  |
| Common Stock |            |  |   |                      |   |                        | 369,632 | I | See footnote (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 5.95  | 12/08/2015                           |  | M                              | 6,000   | <u>(4)</u> 06/27/2022                                    | Common Stock  | 6,000                         |
| Stock Option (Right to Buy)                | \$ 2.35  | 12/08/2015                           |  | M                              | 12,000  | <u>(4)</u> 03/12/2018                                    | Common Stock  | 12,000                        |
| Stock Option (Right to Buy)                | \$ 3.6   | 12/08/2015                           |  | M                              | 12,000  | <u>(4)</u> 03/11/2019                                    | Common Stock  | 12,000                        |
| Stock Option                               | \$ 18  | 12/08/2015                           |  | M                              | 12,000  | <u>(4)</u> 11/13/2014                                    | Common Stock  | 12,000                        |

(Right to Buy)

|                             |          |            |   |        |     |            |              |        |
|-----------------------------|----------|------------|---|--------|-----|------------|--------------|--------|
| Stock Option (Right to Buy) | \$ 3.5   | 12/08/2015 | M | 12,000 | (4) | 03/15/2021 | Common Stock | 12,000 |
| Stock Option (Right to Buy) | \$ 9.775 | 12/08/2015 | M | 12,000 | (4) | 04/17/2023 | Common Stock | 12,000 |
| Stock Option (Right to Buy) | \$ 18.34 | 12/08/2015 | M | 6,000  | (5) | 06/03/2025 | Common Stock | 6,000  |
| Stock Option (Right to Buy) | \$ 2.9   | 12/08/2015 | M | 12,000 | (4) | 06/09/2020 | Common Stock | 12,000 |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| MADERO MIGUEL<br>C/O FIBROGEN, INC.<br>409 ILLINOIS ST.<br>SAN FRANCISCO, CA 94158 | X             |           |         |       |

## Signatures

/s/ Dorothy Pacini,  
Attorney-in-fact

12/10/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold by the reporting person to cover the exercise price and the taxes realized upon the exercise of such options.
  - (2) The shares were sold at prices ranging from \$30.35 to \$30.60. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
  - (3) The shares are held in accounts for the benefit of family members of the reporting person. The reporting person maintains voting and dispositive power over the shares held in such accounts.
  - (4) Fully vested.
  - (5) The shares subject to the option vest and become exercisable quarterly over one year measured from June 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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