

NUVASIVE INC
Form 3
August 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Blackford Quentin S. | | (Month/Day/Year) | NUVASIVE INC [NUVA] | |
| (Last) | (First) | (Middle) | 08/01/2014 | |
| 7475 LUSK BLVD. | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| SAN DIEGO,Â CAÂ 92121 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | CFO and CAO | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 800 | D | Â |
| Common Stock | 3,125 ⁽¹⁾ | D | Â |
| Common Stock | 10,000 ⁽²⁾ | D | Â |
| Common Stock | 2,500 ⁽³⁾ | D | Â |
| Common Stock | 6,250 ⁽⁴⁾ | D | Â |
| Common Stock | 16,017 ⁽⁵⁾ | D | Â |
| Common Stock | 23,697 ⁽⁶⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Option (Right-to-Buy) | 02/09/2010 ⁽⁷⁾ | 02/09/2019 | Common Stock | 3,500 | \$ 38.68 | D | Â |
| Employee Stock Option (Right-to-Buy) | 02/19/2011 ⁽⁷⁾ | 02/19/2020 | Common Stock | 12,500 | \$ 29.95 | D | Â |
| Employee Stock Option (Right-to-Buy) | 01/03/2011 ⁽⁸⁾ | 01/03/2021 | Common Stock | 3,645 | \$ 26.62 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------|-------|
| | Director | 10% Owner | Officer | Other |
| Blackford Quentin S. 7475 LUSK BLVD. SAN DIEGO, CA 92121 | Â | Â | Â CFO and CAO | Â |

Signatures

/s/ Jason M. Hannon,
Attorney-in-fact

08/08/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on January 1, 2012.
- (2) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on October 1, 2012.
- (3) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2013.
- (4) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on July 1, 2013.
- (5) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2014.
- (6) Represents an award of Restricted Stock Units that vest in 4 equal annual installments beginning on January 1, 2015.
- (7) This date is the first of multiple vesting dates, all of which have occurred as of the date of this report.
- (8) 25% of the total shares subject to the option vested on January 3, 2012, the first anniversary of the date of grant; the remaining shares vest in 36 equal monthly installments thereafter.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.