

SVB FINANCIAL GROUP
Form 3
February 27, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Cadieux Marc C</p> <p>(Last) (First) (Middle)</p> <p>SVB FINANCIAL GROUP,Â 3003 TASMAN DRIVE</p> <p>(Street)</p> <p>SANTA CLARA,Â CAÂ 95054</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/18/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>SVB FINANCIAL GROUP [SIVB]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Chief Credit Officer</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>
			<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,257	D	Â
Common Stock	6,062	I	By 401(k)/ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Restricted Stock Unit	04/30/2011	04/30/2017	Common Stock	250 <u>(1)</u>	\$ 0	D	Â
Stock Option	04/28/2010	04/28/2016	Common Stock	1,249 <u>(2)</u>	\$ 19.48	D	Â
Restricted Stock Unit	04/27/2012	04/27/2018	Common Stock	564 <u>(1)</u>	\$ 0	D	Â
Restricted Stock Unit	05/01/2013	05/01/2019	Common Stock	1,350 <u>(1)</u>	\$ 0	D	Â
Restricted Stock Unit	09/03/2014	09/03/2020	Common Stock	3,021 <u>(1)</u>	\$ 0	D	Â
Restricted Stock Unit	04/30/2014	04/30/2020	Common Stock	1,780 <u>(1)</u>	\$ 0	D	Â
Stock Option	05/01/2013	05/01/2019	Common Stock	3,600 <u>(3)</u>	\$ 64.37	D	Â
Stock Option	04/30/2014	04/30/2020	Common Stock	3,550 <u>(4)</u>	\$ 71.11	D	Â
Stock Option	04/30/2011	04/30/2017	Common Stock	2,283 <u>(5)</u>	\$ 49.18	D	Â
Stock Option	04/30/2011	04/30/2017	Common Stock	317 <u>(2)</u>	\$ 49.18	D	Â
Stock Option	04/27/2012	04/27/2018	Common Stock	1,909 <u>(6)</u>	\$ 60.37	D	Â
Stock Option	04/27/2012	04/27/2018	Common Stock	351 <u>(2)</u>	\$ 60.37	D	Â
Stock Option	04/29/2009	04/29/2015	Common Stock	1,758 <u>(2)</u>	\$ 48.76	D	Â
Stock Option	04/29/2009	04/29/2015	Common Stock	762 <u>(2)</u>	\$ 48.76	D	Â
Stock Option	04/28/2010	04/28/2016	Common Stock	2,751 <u>(2)</u>	\$ 19.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cadieux Marc C SVB FINANCIAL GROUP	Â	Â	Â Chief Credit Officer	Â

3003 TASMAN DRIVE
SANTA CLARA, CA 95054

Signatures

Denise West, Attorney-in-Fact for Marc
Cadieux

02/27/2014

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. These restricted stock units are not vested at filing date.
- (2) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. These options are exercisable at filing date.
- (3) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. There are options exercisable for 900 shares at filing date.
- (4) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. These options are not exercisable at filing date.
- (5) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. There are options exercisable for 1,633 shares at filing date.
- (6) Vesting schedule over four years with equal percentage vesting each year of 25% beginning exercisable date. There are options exercisable for 779 shares at filing date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.