Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

SYNCHRONOSS TECHNOLOGIES INC

Form 4

February 19, 2014

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Lazzaro Nicholas P

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

below)

SYNCHRONOSS

3. Date of Earliest Transaction

TECHNOLOGIES INC [SNCR]

Director

10% Owner _X__ Officer (give title Other (specify

200 CROSSING BOULEVARD

(Street)

(State)

(First)

(Middle)

(Zip)

(Month/Day/Year) 02/13/2014

EVP & President of No. Americ

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

> _X_ Form filed by One Reporting Person Form filed by More than One Reporting

> > D

Person Dominative Committee Assuring Disposed of an Depolicially O

BRIDGEWATER, NJ 08807

| (- 4) | (1) | 1 able 1 - | · Non-Deriv | vative Securities Acqui | irea, Disposea oi, | or Beneficially | y Ownea |
|------------|---------------------|--------------------|-------------|-------------------------|--------------------|-----------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securities | 5. Amount of | 6. | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | nAcquired (A) or | Securities | Ownership | Indirect |
| (Instr. 3) | | any | Code | Disposed of (D) | Beneficially | Form: Direct | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 and 5) | Owned | (D) or | Ownership |
| | | | | | Following | Indirect (I) | (Instr. 4) |
| | | | | () | Reported | (Instr. 4) | |
| | | | | (A) | Transaction(s) | | |
| | | | C 1 W | or | (Instr. 3 and 4) | | |
| | | | Code V | Amount (D) Price | | | |

Common 4,500 02/13/2014 A \$0 54,500 D (1) Stock

2014 4,500 Performance 02/13/2014 A \$0 4,500 (2) Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|--------------------|--|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Purchase) | \$ 32.4 | 02/13/2014 | | A | 8,500 | 02/13/2015(3) | 02/13/2021 | Common Stock | 8,500 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lazzaro Nicholas P 200 CROSSING BOULEVARD BRIDGEWATER, NJ 08807

EVP & President of No. Americ

Signatures

/s/ Nicholas Lazzaro 02/18/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock granted pursuant to the Company's 2006 Equity Incentive Plan.
- Represents target number of performance shares. The actual number of shares to be issued, which could range from 0 to one and one-half (2) times the initial target amount, will depend upon the issuer's revenue and operating income during fiscal 2014. and the Company's stock performance. The shares, if any, will be issued in February 2015.
- Th option shall become exercis3able with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after February 13, 2014. The option shall become exerciseable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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