Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

SYNCHRONOSS TECHNOLOGIES INC

Form 4

February 18, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Doran Patrick Joseph

2. Issuer Name and Ticker or Trading Symbol

SYNCHRONOSS

5. Relationship of Reporting Person(s) to

Issuer

TECHNOLOGIES INC [SNCR]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/13/2014

Director 10% Owner Other (specify X_ Officer (give title

EVP & Chief Technology Office

below)

200 CROSSING BOULEVARD, EIGHTH FLOOR

(State)

(Street) 4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

DI	TT			TT	08807
ĸĸ		- H \A/	Λ I H ν		I IX XII I
1)1		C I I 2 VV	A I I IN		1/001/

(City)

(City)	(2.1)	Table I	- Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion Disposed (Instr. 3,	d (A) of d of (D) 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/13/2014		A	7,892 (1)	A	\$ 0	29,251	D	
Common Stock	02/18/2014		S	1,740 (2)	D	\$0	27,511	D	
2014 Performance Shares	02/13/2014		A	7,892 (3)	A	\$0	7,892	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if any	4. 5. Number of TransactiorDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to	\$ 31.4	02/13/2014		A	17,036	02/13/2015(4)	02/13/2021	Common Stock	17,036

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Doran Patrick Joseph 200 CROSSING BOULEVARD EIGHTH FLOOR BRIDGEWATER, NJ 08807

EVP & Chief Technology Office

Signatures

Buy)

/s/ Patrick J. 02/18/2014 Doran

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock granted pursuant to the Company's 2006 Equity Incentive Plan.
- (2) All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan. Represents sale to cover vesting of shares of Restricted Stock.
- Represents target number of performance shares. The actual number of shares to be issued, which could range from 0 to one and one-half (3) times the initial target amount, will depend upon the issuer's revenue and operating income during fiscal 2014 and the Company's stock performance. The shares, if any, will be issued in February 2015.
- (4) The option shall become exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after February 13, 2014. The option shall become exercisable with respect to an additional

Reporting Owners 2

Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

1/48th of the shares subject to the option when the Reporting Person completed each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.