**EBIX INC** Form 4 January 02, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

### (Print or Type Responses)

1. Name and Address of Reporting Person \* Bhalla Pavan

(First) (Middle) (Last)

1109 BRECKENRIDGE LANE

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

EBIX INC [EBIX]

(Month/Day/Year)

12/30/2013

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

X\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

(City) (State)

1.Title of	2. Transaction Date	

ALPHARETTA, GA 30005

Security (Month/Day/Year) (Instr. 3)

2A. Deemed Execution Date, if (Month/Day/Year)

(Zip)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

or

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership Form: Direct (Instr. 4)

Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

(9-02)

7. Nature of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Symbol

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise (Instr. 3) Price of (Month/Day/Year)

5. Number 4. Transaction of Derivative Code Securities (Instr. 8) Acquired

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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Derivative (A) or
Security Disposed of
(D)
(Instr. 3, 4)

(Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable Expiration

Date

Title

Number of Shares

or

Amount

Common

(Right to Buy)  $\frac{(1)}{(1)}$ 

Stock Option \$ 14.89 12/30/2013

A 9,000

12/30/2014<sup>(2)</sup> 12/30/2018

Common Stock

9,000

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bhalla Pavan 1109 BRECKENRIDGE LANE X ALPHARETTA, GA 30005

**Signatures** 

/s/ Pavan Bhalla 01/02/2014

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the 2010 Incentive Plan.
- (2) Stock Options will become exercisable as follows: 2,250 options on December 30, 2014 with the remaining options vesting ratably on the first date of each quarter over the next three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2