

Anstiss Raymond L. Jr.
Form 4
May 11, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Anstiss Raymond L. Jr.

2. Issuer Name **and** Ticker or Trading
Symbol
FIDUS INVESTMENT Corp
[FDUS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
05/10/2012

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

C/O FIDUS INVESTMENT
CORPORATION, 1603
ORRINGTON AVENUE, SUITE
820

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

EVANSTON, IL 60201

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/10/2012		P	1,500	A	\$ 13.64 (1)	3,010 D
Common Stock	05/10/2012		P	1,800	A	\$ 13.66 (2)	5,133 I
							By Anstiss & Co., P.C. 401(k) Profit Sharing

Common Stock	05/10/2012	P	1,250	A	\$ 13.79 (3)	2,000	I	Trust By Son
Common Stock	05/10/2012	P	1,250	A	\$ 13.67 (4)	2,000	I	By Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Anstiss Raymond L. Jr.
C/O FIDUS INVESTMENT CORPORATION
1603 ORRINGTON AVENUE, SUITE 820
EVANSTON, IL 60201

X

Signatures

/s/ Megan H. Barnes, Attorney-in-Fact for Raymond L.
Anstiss, Jr.

05/11/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) An aggregate of 1,500 shares of common stock were purchased in multiple trades on May 10, 2012 at prices ranging from \$13.59 to \$13.68. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, Fidus Investment Corporation or a shareholder of Fidus Investment Corporation full information regarding the number of shares and prices at which the transaction was effected.

(2) An aggregate of 1,800 shares of common stock were purchased in multiple trades on May 10, 2012 at prices ranging from \$13.62 to \$13.68. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, Fidus Investment Corporation or a shareholder of Fidus Investment Corporation full information regarding the number of shares and prices at which the transaction was effected.

(3) An aggregate of 1,250 shares of common stock were purchased in multiple trades on May 10, 2012 at prices ranging from \$13.77 to \$13.80. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, Fidus Investment Corporation or a shareholder of Fidus Investment Corporation full information regarding the number of shares and prices at which the transaction was effected.

(4) An aggregate of 1,250 shares of common stock were purchased in multiple trades on May 10, 2012 at prices ranging from \$13.65 to \$13.69. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, Fidus Investment Corporation or a shareholder of Fidus Investment Corporation full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.