

CITIGROUP INVESTMENTS INC
 Form 3
 August 01, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â CITIGROUP INC</p> <p>(Last) (First) (Middle)</p> <p>399 PARK AVENUE, 3RD FLOOR</p> <p>(Street)</p> <p>NEW YORK, NY 10022</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/23/2008</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Patriot Coal CORP [PCX]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>See footnote 1 below</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input type="checkbox"/> Form filed by One Reporting Person</p> <p><input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	---	---	---	--

Table I - Non-Derivative Securities Beneficially Owned

<p>1. Title of Security (Instr. 4)</p>	<p>2. Amount of Securities Beneficially Owned (Instr. 4)</p>	<p>3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</p>	<p>4. Nature of Indirect Beneficial Ownership (Instr. 5)</p>
--	--	---	--

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<p>1. Title of Derivative Security (Instr. 4)</p>	<p>2. Date Exercisable and Expiration Date (Month/Day/Year)</p> <p>Date Exercisable Expiration Date</p>	<p>3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)</p> <p>Title Amount or Number of Shares</p>	<p>4. Conversion or Exercise Price of Derivative Security</p>	<p>5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)</p>	<p>6. Nature of Indirect Beneficial Ownership (Instr. 5)</p>
---	---	--	---	---	--

Edgar Filing: CITIGROUP INVESTMENTS INC - Form 3

(Instr. 5)

Call Option <u>(1)</u>	Â <u>(2)</u>	09/19/2008	Common Stock	5,200	\$ 155	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	1,000	\$ 240	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	1,900	\$ 230	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	100	\$ 185	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	12,000	\$ 180	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	100	\$ 175	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	4,600	\$ 170	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	4,300	\$ 160	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	01/16/2009	Common Stock	400	\$ 250	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	600	\$ 240	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	1,200	\$ 220	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	600	\$ 210	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	100	\$ 190	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	1,000	\$ 165	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	12/19/2008	Common Stock	200	\$ 160	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	08/15/2008	Common Stock	2,400	\$ 175	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	09/19/2008	Common Stock	1,800	\$ 95	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	09/19/2008	Common Stock	2,400	\$ 85	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	09/19/2008	Common Stock	1,700	\$ 80	I	See Footnote <u>(3)</u> <u>(4)</u>
Call Option <u>(1)</u>	Â <u>(2)</u>	09/19/2008	Common Stock	3,000	\$ 145	I	See Footnote <u>(3)</u> <u>(4)</u>

Edgar Filing: CITIGROUP INVESTMENTS INC - Form 3

Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	1,200	\$ 135	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	700	\$ 125	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	700	\$ 120	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	700	\$ 115	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	6,300	\$ 110	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	3,600	\$ 105	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	09/19/2008	Common Stock	3,100	\$ 100	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	01/16/2009	Common Stock	3,600	\$ 145	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	01/16/2009	Common Stock	300	\$ 120	I	See Footnote ⁽³⁾ ⁽⁴⁾
Call Option ⁽¹⁾	Â ⁽²⁾	01/16/2009	Common Stock	2,000	\$ 115	I	See Footnote ⁽³⁾ ⁽⁴⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITIGROUP INC 399 PARK AVENUE, 3RD FLOOR NEW YORK, NY 10022	Â	Â	Â	See footnote 1 below
CITIGROUP INVESTMENTS INC 731 LEXINGTON AVENUE, 26TH FLOOR NEW YORK, NY 10022	Â	Â	Â	See footnote 1
Citigroup Alternative Investments LLC 731 LEXINGTON AVENUE, 26TH FLOOR NEW YORK, NY 10022	Â	Â	Â	See footnote 1
Citigroup Private Equity LP 731 LEXINGTON AVENUE, 26TH FLOOR NEW YORK, NY 10022	Â	Â	Â	See footnote 1
Citigroup Capital Partners II 2006 Citigroup Investment, L.P. 731 LEXINGTON AVENUE, 26TH FLOOR NEW YORK, NY 10022	Â	Â	Â	See footnote 1
Citigroup Capital Partners II Employee Master Fund, L.P. 731 LEXINGTON AVENUE, 26TH FLOOR	Â	Â	Â	See footnote 1

NEW YORK, NY 10022

CITIGROUP CAPITAL PARTNERS II ONSHORE L P

731 LEXINGTON AVENUE, 26TH FLOOR

NEW YORK, NY 10022

Â Â Â

See footnote 1

Citigroup Capital Partners II Cayman Holdings, L.P.

731 LEXINGTON AVENUE, 26TH FLOOR

NEW YORK, NY 10022

Â Â Â

See footnote 1

Signatures

Citigroup Inc. By: /s/ Riqueza V. Feaster Name: Riqueza V. Feaster Title: Assistant Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Investments Inc., By: /s/ Millie Kim, Name: Millie Kim, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Alternative Investments, LLC, By: /s/ Jason Ment, Name: Jason Ment, Title: Assistant Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Private Equity LP, By: /s/ Jason Ment, Name: Jason Ment, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Capital Partners II 2006 Citigroup Investment, L.P., By: Citigroup Private Equity LP, its general partner, By: /s/ Jason Ment, Name: Jason Ment, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Capital Partners II Employee Master Fund, L.P., By: Citigroup Private Equity LP, its general partner, By: /s/ Jason Ment, Name: Jason Ment, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Capital Partners II Onshore, L.P., By: Citigroup Private Equity LP, its general partner, By: /s/ Jason Ment, Name: Jason Ment, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Citigroup Capital Partners II Cayman Holdings, L.P., By: Citigroup Private Equity LP, its general partner, By: /s/ Jason Ment, Name: Jason Ment, Title: Secretary 08/01/2008

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting persons may be deemed as members of a group beneficially owning 10% or more of the common stock of the issuer within the meaning of Section 13(d) of the Securities Exchange Act of 1934. Except as set forth below, the reporting persons disclaim beneficial ownership of all securities other than those reported above, and this report shall not be deemed an admission that such a group exists or that the reporting person is the beneficial owner of the securities of such group for purposes of Section 16 or for any other purpose.

(2) All options are currently exercisable.

(3) The shares of Common Stock reported in Table I represent 247,602 shares held directly by Citigroup Capital Partners II 2006 Citigroup Investment, L.P., 278,126 shares held directly by Citigroup Capital Partners II Employee Master Fund, L.P., 125,566 shares held directly by Citigroup Capital Partners II Onshore, L.P., 157,330 shares held directly by Citigroup Capital Partners II Cayman Holdings, L.P. (collectively, the "CCP Funds") and 63,699 shares held directly by Citigroup Inc. ("Citigroup"). Citigroup Private Equity, LP ("Citigroup PE") is the general partner of each of the CCP Funds, Citigroup Alternative Investments LLC ("CAI") is its general partner and is a wholly owned subsidiary of Citigroup.

Edgar Filing: CITIGROUP INVESTMENTS INC - Form 3

- Each of the CCP Funds disclaims beneficial ownership of any shares of Common Stock held by any other person and each of Citigroup,
- (4) CAI and Citigroup PE disclaims beneficial ownership of the shares held by the CCP Funds except to the extent of their pecuniary interest in the CCP Funds, if any.

Â

Remarks:

Part 2 of 3. Due to the SEC's 30 line limit in Table II, this Form 3 has been filed in 3 p

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.