

NRG ENERGY, INC.  
Form 4/A  
January 04, 2008

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Winn Steve

(Last) (First) (Middle)  
NRG ENERGY, INC., 211  
CARNEGIE CENTER  
(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NRG ENERGY, INC. [NRG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/10/2007

4. If Amendment, Date Original Filed(Month/Day/Year)  
12/12/2007

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Strategy, Env and New Bus

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)       | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                       |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock, par value .01 per share | 12/10/2007                           |  | M <sup>(1)</sup>               |   | 2,000 A \$ 19.4   | 25,762   | D                                 |
| Common Stock, par value .01 per share | 12/10/2007                           |  | M <sup>(1)</sup>               |   | 4,500 A \$ 15.74  | 30,262   | D                                 |
| Common Stock, par value .01 per share | 12/10/2007                           |  | S <sup>(1)</sup>               |   | 5,500 D \$ 43.1   | 24,762   | D                                 |

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|                                       |            |                         |     |   |          |        |   |
|---------------------------------------|------------|-------------------------|-----|---|----------|--------|---|
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 300 | D | \$ 43.51 | 24,462 | D |
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 300 | D | \$ 43.52 | 24,162 | D |
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 100 | D | \$ 43.54 | 24,062 | D |
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 100 | D | \$ 43.55 | 23,962 | D |
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 100 | D | \$ 43.6  | 23,862 | D |
| Common Stock, par value .01 per share | 12/10/2007 | <u>S</u> <sup>(1)</sup> | 100 | D | \$ 43.61 | 23,762 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  | \$ 19.4  | 12/10/2007                           |  | <u>M</u> <sup>(1)</sup>        | V (A) (D)<br>2,000  | <u>(2)</u> 08/01/2011                                    | Title<br>Amount or Number of Shares<br>2,000                |

Non-Qualified  
Stock Options

Common  
Stock, par  
value  
\$.01 per  
share

Non-Qualified  
Stock Options

\$ 15.74

12/10/2007

M<sup>(1)</sup>

4,500

<sup>(3)</sup>

11/19/2014

Common  
stock, par  
value  
\$.01 per  
share

4,500

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| Winn Steve<br>NRG ENERGY, INC.<br>211 CARNEGIE CENTER<br>PRINCETON, NJ 08540 |               |           | EVP, Strategy, Env and New Bus |       |

## Signatures

/s/ Brian Curci, under Power of  
Attorney

12/12/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transaction made pursuant to a 10b5-1 plan dated November 9, 2007.
- (2) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on August 1, 2006 and 33 1/3% on August 1, 2007. The remaining 33 1/3% will vest on August 1, 2008.
- (3) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on November 19, 2005, 33 1/3% on November 19, 2006 and 33 1/3% on November 19, 2007.

### Remarks:

This Form 4 is being amended to reflect the exercise of stock options that were inadvertently omitted from the original filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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