

Edgar Filing: Cohen & Steers Dividend Value Fund, Inc. - Form 40-17G

Cohen & Steers Dividend Value Fund, Inc.
Form 40-17G
February 22, 2019
Cohen & Steers

280 Park Avenue

New York, NY 10017

February 22, 2019

Securities and Exchange Commission

Filing Desk

100 F. Street N.E.

Washington, D.C. 20549

Re: Joint Insured Fidelity Bond of:

Cohen & Steers Dividend Value Fund, Inc. (File No. 811-21668)

Cohen & Steers Global Infrastructure Fund, Inc. (File No. 811-21488)

Cohen & Steers Global Realty Shares, Inc. (File No. 811-08059)

Cohen & Steers Institutional Realty Shares, Inc. (File No. 811-09631)

Cohen & Steers International Realty Fund, Inc. (File No. 811-21677)

Cohen & Steers Preferred Securities and Income Fund, Inc. (File No. 811-22392)

Cohen & Steers Real Estate Securities Fund, Inc. (File No. 811-08287)

Cohen & Steers Realty Shares, Inc. (File No. 811-06302)

Cohen & Steers Real Assets Fund, Inc. (File No. 811-22621)

Cohen & Steers MLP & Energy Opportunity Fund, Inc. (File No. 811-22867)

Cohen & Steers Low Duration Preferred and Income Fund, Inc. (File No. 811-23097)

Cohen & Steers Closed-End Opportunity Fund, Inc. (File No. 811-21948)

Cohen & Steers Global Income Builder, Inc. (File No. 811-22057)

Cohen & Steers Infrastructure Fund, Inc. (File No. 811-21485)

Cohen & Steers Quality Income Realty Fund, Inc. (File No. 811-10481)

Cohen & Steers REIT and Preferred Income Fund, Inc. (File No. 811-21326)

Cohen & Steers Select Preferred and Income Fund, Inc. (File No. 811-22455)

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Cohen & Steers Total Return Realty Fund, Inc. (File No. 811-07154)

Cohen & Steers Limited Duration Preferred and Income Fund, Inc. (File No. 811-22707)

Cohen & Steers MLP Income and Energy Opportunity Fund, Inc. (File No. 811-22780)

Cohen & Steers Preferred Securities and Income SMA Shares, Inc. (File No. 811-23393)

Ladies and Gentlemen:

Enclosed for filing on behalf of the above-referenced registered investment management companies (the Funds) pursuant to Rule 17g-1 of the Investment Company Act of 1940, as amended, are the following documents:

- (i) A copy of the endorsement to the Fidelity Bond (the Bond), effective December 31, 2018, issued by Travelers Casualty and Surety Company of America insuring the Funds is attached as Exhibit 1;

- (ii) A copy of the Fidelity Bond Agreement in accordance with Rule 17g-1(f) is attached as Exhibit 2;

(iii) A copy of the resolutions of the Directors of each Board, a majority of whom are not interested persons of the Funds, approving the amount, type, form and coverage of the Bond and the portion of the premium to be paid by each Fund is attached as Exhibit 3; and

(iv) A Rule 17g-1 Minimum Amount of Bond worksheet, showing the amount of a single insured bond which each Fund would have to maintain, had it not been named as an insured under the joint Bond, is attached as Exhibit 4.

The premiums for the Bond will be appropriately paid from December 31, 2018 through December 31, 2019.

If there are any questions regarding this filing, please contact the undersigned at (212) 832-3232.

Very truly yours,

/s/ Dana DeVivo

Dana DeVivo
Secretary

NEW YORK FREE TRADE ZONE FILING EXEMPTION AND CLASS CODE DISCLOSURE

This document is deemed to be the front page of the accompanying policy forms.

NOTICE: THESE POLICY FORMS AND THE APPLICABLE RATES ARE EXEMPT FROM THE FILING REQUIREMENTS OF THE NEW YORK INSURANCE LAW AND REGULATIONS. HOWEVER, THE FORMS AND RATES MUST MEET THE MINIMUM STANDARDS OF THE NEW YORK INSURANCE LAW AND REGULATIONS.

2-14057 Large Deductible or Self-Insured Retention Insurance

Nothing herein contained shall be held to vary, alter, waive or extend any of the terms, conditions, exclusions or limitations of the above-mentioned policy, except as expressly stated herein. This endorsement is part of such policy and incorporated therein.

Issuing Company: **Travelers Casualty and Surety Company of America**

Policy Number: **106841644**

AFE-19002 Ed. 01-13

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January 4, 2019

COHEN & STEERS CAPITAL MANAGEMENT, INC

280 PARK AVE

NEW YORK, NY 10017-1274

Re: Important Information about **Claims Information Line**

Dear **COHEN & STEERS CAPITAL MANAGEMENT, INC**

Travelers Bond & Specialty Insurance is pleased to announce its **1-800-842-8496** Claims Information Line. This line is designed to provide insureds with an additional resource on how to report claims or those circumstances or events which may become claims.

Policyholders will be able to obtain assistance on the following topics from the Claims Information Line:

The information that needs to be included with the claim notice

The address, electronic mail address and/or facsimile number to which the policyholder can send claims related information

Get questions on the claim process answered

The Declarations Page of your policy sets forth where you should report claims and claims related information. You should also review the policy's reporting requirements to be aware of how much time you have to report a claim to Travelers. The sooner Travelers is notified, the sooner we can become involved in the process and offer assistance to our policyholder. A delay in reporting may result in all or part of a matter to fall outside of the coverage provided.

The Claims Information Line should streamline the claim reporting process and allow policyholders to ask questions on what information is needed as well as other questions which will assist them in working with Travelers. While the Claims Information Line provides policyholders a valuable resource by answering questions and providing information, the line does not replace the reporting requirements contained in the Policy.

We hope this improvement to customer service is something our policyholders will find helps them understand the claim process and provides them a resource for reporting.

Best regards,

Robert P Hamilton Jr

One Tower Square

Hartford, CT 06183

01/04/2019

COHEN & STEERS CAPITAL MANAGEMENT, INC

280 PARK AVE

NEW YORK, NY 10017-1274

RE: Risk Management PLUS+ Online ® from Travelers Bond & Specialty Insurance (www.rmplusonline.com)

As a Travelers Bond & Specialty Insured you receive risk management services, at no cost, to help protect you and your business.

Risk Management PLUS+ Online, is a robust website to assist you in the mitigation of risk relative to employment practices, directors and officers, fiduciary liability, cyber, crime, kidnap & ransom, and identity fraud exposures.

Highlights of Risk Management PLUS+ Online include:

Thousands of articles on a variety of risk management topics

Topical webinars and podcasts on current issues

Checklists to assist in managing risk

Web based training

Model Employee Handbook, including policies and forms for downloading or printing that reduce risks in the workplace.

The following Risk Management PLUS+ Online Registration Instructions contain easy, step-by-step instructions to register for this valuable tool. For more information, call 1-888-712-7667 and ask for your Risk Management PLUS+ Online representative. It's that simple.

Thank you for choosing Travelers Bond & Specialty Insurance for your insurance needs. Travelers is a market leader in providing management liability and crime coverages that are specifically customized for your organization.

[Instructions for Registration & Orientation to Risk Management PLUS+ Online®](#)

Registration for Site Administrators:

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The Site Administrator is the person in your organization who will oversee Risk Management PLUS+ Online for the organization. The Site Administrator is typically a person who leads human resources and/or financial functions or is responsible for legal matters pertaining to personnel. The Site Administrator may add other Site Administrators later to assist with their responsibilities. To register:

1. Go to www.rmplusonline.com.
2. In the Sign-In box, click **Register**.
3. Enter the password/passcode: <TRVP300100 for Insurance Companies> <TRVP300400 for Banks and Diversified> <TRVP300300 for Asset Management>
4. Fill in the Registration Information and click **Submit**.
5. Your organization is registered, and you are registered as Site Administrator.

Learning to Navigate the Site:

1. Go to www.rmplusonline.com. On each page, you will see a box outlined in blue that contains the instructions for use of that page.
2. If you have any questions, just click on **Contact Us** on the front page. Enter your question in the form provided, and the System Administrator will get back to you quickly with the answer.
3. You can also schedule a live walk-through of the site by sending a request for a walk-through via the contact link on the front page.

LTR-4107 Rev. 06-18

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**IMPORTANT NOTICE REGARDING INDEPENDENT AGENT AND
BROKER COMPENSATION**

For information on how Travelers compensates independent agents, brokers, or other insurance producers, please visit this website: www.travelers.com/w3c/legal/Producer_Compensation_Disclosure.html

If you prefer, you can call the following toll-free number: 1-866-904-8348. Or you can write to us at Travelers, Agency Compensation, One Tower Square, Hartford, CT 06183.

NTC-19036 Ed. 08-15

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*Investment Company Bond
Declarations*
BOND NO. 106841644

Travelers Casualty and Surety Company of America
One Tower Square
Hartford, Connecticut 06183

(A Stock Insurance Company, herein called the Company)

ITEM 1 INSURED:

COHEN & STEERS CAPITAL MANAGEMENT, INC

Principal Address:

280 PARK AVE

NEW YORK, NY 10017-1274

(hereinafter, Insured)

ITEM 2 POLICY PERIOD:

Inception Date: December 31, 2018 Expiration Date: December 31, 2019

12:01 A.M. local time as to both dates at the Principal Address stated in ITEM 1.

**ITEM 3 ALL NOTICES OF CLAIM OR LOSS MUST BE SENT TO THE COMPANY BY EMAIL,
FACSIMILE, OR MAIL AS SET FORTH BELOW:**

Email: BSIclaims@travelers.com

Fax: (888) 460-6622

Mail: Travelers Bond & Specialty Insurance Claim
385 Washington St. Mail Code 9275-NB03F

St Paul, MN 55102

Travelers Bond & Specialty Insurance Claim telephone number: 800-842-8496

| | | |
|--|---------------|-----------|
| D. FORGERY OR ALTERATION | \$ 24,100,000 | \$ 25,000 |
| E. SECURITIES | \$ 24,100,000 | \$ 25,000 |
| F. COUNTERFEIT MONEY AND COUNTERFEIT MONEY ORDERS | \$ 24,100,000 | \$ 25,000 |
| G. CLAIM EXPENSE | \$ 50,000 | \$ 5,000 |
| H. STOP PAYMENT ORDERS OR WRONGFUL DISHONOR OF CHECKS | \$ 25,000 | \$ 5,000 |
| I. COMPUTER SYSTEMS | | |
| Coverage I.1. Computer Fraud | \$ 24,100,000 | \$ 25,000 |
| Coverage I.2. Fraudulent Instructions | \$ 24,100,000 | \$ 25,000 |
| Coverage I.3. Restoration Expense | \$ 24,100,000 | \$ 25,000 |
| J. UNCOLLECTIBLE ITEMS OF DEPOSIT | \$ 25,000 | \$ 5,000 |

ITEM 5 PREVIOUS BONDS OR POLICIES:

The Insured, by acceptance of this bond, gives notice to the Company canceling or terminating prior bond or policy numbers:

Not Applicable

such cancellation or termination to be effective as of the time this bond becomes effective.

ITEM 6 DISCOVERY PERIOD:

Additional Premium Percentage: 100% of the annualized premium

Additional Months: 12 months

(If exercised in accordance with section VI. CONDITIONS, S. DISCOVERY PERIOD)

ITEM 7 FORMS AND ENDORSEMENTS ATTACHED AT ISSUANCE:

AFE-19002-0113; IVBB-16001-0116; IVBB-10001-0616; IVBB-19005-0116; IVBB-19010-0116; IVBB-19016-0116; IVBB-19024-0116; IVBB-10004-0916; IVBB-10022-1118; IVBB-17022-0317; IVBB-18023-1217

PRODUCER INFORMATION:

WILLIS OF NEW YORK INC

200 LIBERTY ST

NEW YORK, NY 10281

IVBB-15001 Ed. 01-16

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Countersigned By

IN WITNESS WHEREOF, the Company has caused this bond to be signed by its authorized officers.

President, Bond & Specialty Insurance

Corporate Secretary

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Investment Company Bond

with Extended Coverages

I. CONSIDERATION CLAUSE

IN CONSIDERATION of the payment of an agreed premium and subject to the Declarations and pursuant to all the terms, conditions, exclusions and limitations of this bond, the Company agrees to indemnify the Insured as set forth in ITEM 1 of the Declarations (herein called Insured) for:

II. INSURING AGREEMENTS

A. FIDELITY

Coverage A.1. Larceny or Embezzlement

Loss resulting directly from **Larceny or Embezzlement** committed by an **Employee** acting alone or in collusion with others.

Coverage A.2. Restoration Expenses

Restoration Expenses incurred by the Insured and resulting directly from a **Computer Violation** by an **Employee**.

B. ON PREMISES

1. Loss of **Property** resulting directly from:

- a. robbery, burglary, mysterious unexplainable disappearance or misplacement and damage or destruction; or
- b. theft, false pretenses, or common law or statutory larceny, committed by a person physically present in an office of, or on the premises of, the Insured at the time the **Property** was surrendered,

while the **Property** is lodged or deposited within offices or premises located anywhere. The premises of a **Depository** will be deemed premises of the Insured, but solely as respects loss of **Certificated Securities**. Coverage for **Certificated Securities** held by such **Depository** is limited to the extent of the Insured's interest therein as effected by the making of appropriate entries on the books and records of such **Depository**. The Company will not be liable under Insuring Agreement B for loss in connection with the central handling of securities within the systems established and maintained by any **Depository** unless the amount of such loss exceeds the amount recoverable or recovered under any bond or policy or participants' fund insuring the **Depository** against such loss.

This bond does not afford any coverage in favor of any **Depository** or exchange or any nominee in whose name is registered any security included within the **Depository**'s systems.

2. Direct loss, through any hazard specified in Insuring Agreement B.1. of any **Property** while such **Property** is within any of the Insured's or an **Investment Adviser's** offices and in the possession of any customer of the Insured, any representative of such customer or any **Employee** whether or not the Insured is liable for the loss thereof, and provided such loss, at the option of the Insured, is included in the Insured's proof of loss, but excluding, in any event, loss caused by such customer, any representative of such customer, or any **Employee**.

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C. IN TRANSIT

Loss of **Property** (occurring with or without negligence or violence) resulting directly from robbery, larceny, theft, holdup, mysterious unexplainable disappearance, misplacement, being lost or otherwise made away with, damage thereto or destruction thereof, and loss of subscription, conversion, redemption or deposit privileges through the misplacement or loss of **Property**, while the **Property** is in transit anywhere in the custody of any person or persons acting as **Messenger**, except while in the mail or with a carrier for hire other than an armored motor vehicle company, for the purpose of transportation, such transit to begin immediately upon receipt of such **Property** by the transporting person or persons, and to end immediately upon delivery thereof at destination, but only while the **Property** is being conveyed.

D. FORGERY OR ALTERATION

Loss resulting directly from the Insured having, in good faith, paid or transferred any **Property** in reliance on any **Written, Original**:

1. **Negotiable Instrument** (except an **Evidence of Debt**);
2. **Certificate of Deposit**;
3. **Letter of Credit**;
4. **Withdrawal Order**;
5. **Acceptance**;
6. receipt for the withdrawal of **Property**; or
7. instruction or advice directed to the Insured or an **Investment Adviser** and purportedly signed by a **Customer** of the Insured or by a **Financial Institution**,

which (a) bears a handwritten signature which is a **Forgery**; or (b) is altered, but only to the extent the **Forgery** or alteration causes the loss.

Actual physical possession of the items listed in 1. through 7. above by the Insured is a condition precedent to the Insured s having relied on the items.

E. SECURITIES

Loss resulting directly from the Insured having, in good faith, for its own account or for the account of others:

1. acquired, sold, delivered, or given value, extended credit or assumed liability, on the faith of any **Original Written** document that is a (an):
 - a. **Certificated Security;**
 - b. **Document of Title;**
 - c. deed, mortgage, or other instrument conveying title to, or creating or discharging a lien on, real property;
 - d. **Certificate of Origin or Title;**
 - e. **Certificate of Deposit;**
 - f. **Evidence of Debt;**
 - g. corporate, partnership, or personal **Guarantee;**
 - h. **Security Agreement;**