

NOMURA HOLDINGS INC
Form 6-K
December 13, 2018
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of December 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from November 1, 2018 to November 30, 2018.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: December 13, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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*[Translation of Share Buyback Report for the reporting month from November 1, 2018 to November 30, 2018
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on December 13, 2018]*

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of November 30, 2018

| | Number of Shares | Total Repurchase Amount (JPY) |
|--|------------------|-------------------------------------|
| Authorization pursuant to the resolution of the Board (April 26, 2018) | | |
| (Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results)) | 100,000,000 | 70,000,000,000 |
| Repurchases during this reporting month (Date of repurchase) | (Date) | |
| | November 15 | 1,900,000 |
| | November 16 | 1,764,700 |
| | November 19 | 1,750,000 |
| | November 20 | 1,757,500 |
| | November 21 | 1,750,000 |
| | November 22 | 1,669,900 |
| | November 26 | 1,680,000 |
| | November 27 | 1,538,100 |
| | November 28 | 1,640,000 |
| | | 878,825,540 |
| | | 976,674,040 |
| | | 901,010,430 |
| | | 898,481,060 |
| | | 892,706,370 |
| | | 893,213,490 |
| | | 850,440,490 |
| | | 867,087,230 |
| | | 794,605,670 |
| | | 852,963,550 |

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November 29 1,680,000 865,666,230

November 30

| | | |
|--|------------|----------------|
| Total | 18,845,200 | 9,671,674,100 |
| Aggregate shares repurchased as of the end of this reporting month | 77,458,300 | 41,104,143,370 |
| Progress of share repurchase (%) | 77.5 | 58.7 |

Table of Contents**2. Status of disposition**

as of November 30, 2018

| | Number of shares disposed in the reporting month (Date) | Aggregate amount of disposition (JPY) |
|---|---|--|
| Treasury shares disposed subject to procedures for issuance of new shares | | |
| Subtotal | | |
| Treasury shares canceled | (Date) | |
| Subtotal | | |
| Treasury shares transferred upon merger, share exchange or demerger | (Date) | |
| Subtotal | | |
| Other (upon request for purchasing less-than-a-full-unit shares) | (Date) | |
| Subtotal | | |
| Other (exercise of stock acquisition rights) | (Date) | 30,500 |
| | November 1 | 785,200 |
| | November 2 | 492,100 |
| | November 5 | 348,600 |
| | November 6 | 194,800 |
| | November 7 | 152,300 |
| | November 8 | 130,200 |
| | November 9 | 273,300 |
| | November 12 | 38,600 |
| | November 13 | 136,400 |
| | November 14 | 121,000 |
| | November 15 | 6,400 |
| | November 16 | 10,800 |

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| | | | |
|----------|-------------|-----------|------------|
| | November 19 | 92,500 | 92,500 |
| | November 21 | 240,300 | 240,300 |
| | November 22 | 107,500 | 1,592,500 |
| | November 26 | 117,900 | 117,900 |
| | November 27 | 26,000 | 26,000 |
| | November 28 | 38,800 | 38,800 |
| | November 29 | 48,800 | 1,533,800 |
| | November 30 | | |
| Subtotal | | 3,392,000 | 40,324,000 |
| Total | | 3,392,000 | 40,324,000 |

3. Status of shares held in treasury

as of November 30, 2018

| As of the end of the reporting month | Number of Shares |
|--------------------------------------|------------------|
| Total number of issued shares | 3,643,562,601 |
| Number of shares held in treasury | 313,887,054 |