

GLADSTONE INVESTMENT CORPORATION\DE
Form DEFA14A
August 02, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

SCHEDULE 14A
(Rule 14a-101)
INFORMATION REQUIRED IN PROXY STATEMENT
SCHEDULE 14A INFORMATION
Proxy Statement Pursuant to Section 14(a) of the
Securities Exchange Act of 1934

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

Preliminary Proxy Statement

Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))

Definitive Proxy Statement

Definitive Additional Materials

Soliciting Material Under Rule 14a-12

GLADSTONE INVESTMENT CORPORATION

(Name of Registrant as Specified in its Charter)

(Name of Person(s) Filing Proxy Statement, if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials.

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount Previously Paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

Gladstone Investment Corporation Announces Adjournment of 2018 Annual Meeting of Stockholders

MCLEAN, VA., August 2, 2018: Gladstone Investment Corporation (NASDAQ: GAIN) (the Company) held its 2018 Annual Meeting of Stockholders (the Annual Meeting) on Thursday, August 2, 2018. To solicit additional votes to establish a quorum for the Annual Meeting, the meeting was adjourned until Thursday, August 9, 2018 at 11:00 a.m. EDT, and will reconvene at that time as a live webcast at www.virtualshareholdermeeting.com/GAIN2018.

We are encouraged by the positive support that we have received to date from a significant number of our stockholders, stated David Dullum, President of the Company. We ask our stockholders who have not yet voted their shares to please do so as soon as possible and we thank all of our stockholders for their continued support.

If you have not yet voted, we urge you to vote by phone or through the internet using the instructions provided on your proxy ballot. You may also contact Georgeson LLC, the Company s proxy solicitor, to vote your shares at (800) 790-6795. Representatives from Georgeson are available to take your call Monday through Friday from 9:00 a.m. to 11:00 p.m. EDT or 11:00 a.m. to 6:00 p.m. EDT on Saturdays.

Important Information

Stockholders are strongly advised to read the proxy statement (filed with the Securities and Exchange Commission (the SEC) on June 15, 2018) carefully before making any voting decision, as it contains important information. The proxy statement and the Company s most recent annual report are available at www.proxyvote.com. Further, these documents and any other materials filed by the Company with the SEC can be obtained free of charge at the SEC s website at www.sec.gov or from the Company s website at www.gladstoneinvestment.com.

Gladstone Investment Corporation is a publicly traded business development company that seeks to make debt and equity investments in lower middle market businesses in the United States in connection with acquisitions, changes in control and recapitalizations.

Source: Gladstone Investment Corporation

For further information: Gladstone Investment Corporation, +1-703-287-5893