

NOMURA HOLDINGS INC  
Form 6-K  
January 12, 2017  
[Table of Contents](#)

**FORM 6-K**

**U.S. SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**Report of Foreign Private Issuer**

**Pursuant to Rule 13a-16 or 15d-16 of**

**the Securities Exchange Act of 1934**

**Commission File Number: 1-15270**

**For the month of January 2017**

**NOMURA HOLDINGS, INC.**

**(Translation of registrant's name into English)**

**9-1, Nihonbashi 1-chome**

**Chuo-ku, Tokyo 103-8645**

**Japan**

**(Address of principal executive offices)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):



**Table of Contents**

Information furnished on this form:

**EXHIBIT**

**Exhibit Number**

1. (English Translation) Share Buyback Report for the reporting month from December 1, 2016 to December 31, 2016

**Table of Contents**

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: January 12, 2017

By: /s/ Hajime Ikeda  
Hajime Ikeda  
Senior Managing Director

**Table of Contents**

*[Translation of Share Buyback Report for the reporting month from December 1, 2016 to December 31, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on January 12, 2017]*

**Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

		as of December 31, 2016	
	Number of Shares	Total Repurchase Amount (JPY)	
Authorization pursuant to the resolution of the Board (July 28, 2016)			
(Period of repurchase: from August 15, 2016 to January 27, 2017 (excluding the period between October 28, 2016 to November 11, 2016))	100,000,000	45,000,000,000	
Repurchases during this reporting month (Date of repurchase)	(Date)	984,100	637,052,260
	December 1	984,100	662,593,830
	December 2	1,098,000	739,527,590
	December 5	1,098,000	763,467,440
	December 6	1,098,000	771,729,480
	December 7	1,098,000	797,230,580
	December 8	1,098,000	825,725,260
	December 9	1,100,600	842,647,730
	December 12	1,100,600	815,403,210
	December 13	1,100,600	824,090,020

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

December 14	1,100,600	828,635,220
December 15	623,200	468,193,950
December 16		

<b>Total</b>	<b>12,483,800</b>	<b>8,976,296,570</b>
Aggregate shares repurchased as of the end of this reporting month	85,987,200	44,999,965,170
<b>Progress of share repurchase (%)</b>	<b>86.0</b>	<b>100.0</b>

**Table of Contents****2. Status of disposition**

		as of December 31, 2016	
	Number of shares disposed in the reporting month		Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares )	(Date)		
	December 12	70	47,306
	December 29	27	19,448
Subtotal		97	66,754
Other (exercise of stock acquisition rights)	(Date)	140,500	2,452,500
	December 1	60,500	60,500
	December 2	47,400	344,400
	December 5	32,700	1,871,700
	December 6	111,700	4,930,600
	December 7	172,700	12,136,700
	December 8	91,200	6,131,200
	December 9	115,600	6,822,600
	December 12	190,700	16,179,700
	December 13	83,900	16,695,900
	December 14	192,300	13,631,300
	December 15	207,200	16,839,200
	December 16	144,000	1,332,000
	December 19	484,400	7,065,400

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	December 20	165,800	6,802,800
	December 21	74,100	6,782,100
	December 22	9,000	605,000
	December 26	196,000	4,822,000
	December 27		
Subtotal		2,519,700	125,505,600
Total		2,519,797	125,572,354

**3. Status of shares held in treasury**

As of the end of the reporting month	as of December 31, 2016
	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	298,593,095