

CareDx, Inc.  
Form 8-K  
June 29, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d)**

**of the Securities Exchange Act of 1934**

**Date of Report (date of earliest event reported): June 27, 2016**

**CAREDX, INC.**

**(Exact name of registrant as specified in its charter)**

**Delaware**  
**(State or other jurisdiction**

**of incorporation)**

**001-36536**  
**(Commission**

**File No.)**  
**3260 Bayshore Boulevard**

**94-3316839**  
**(IRS Employer**

**Identification Number)**

Edgar Filing: CareDx, Inc. - Form 8-K

**Brisbane, California 94005**

**(Address of principal executive offices)**

**(415) 287-2300**

**(Registrant's telephone number, including area code)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 1.01. Entry into a Material Definitive Agreement**

On June 27, 2016, CareDx, Inc. (the **Company** ) entered into a second amendment to loan and security agreement (the **Second Amendment** ) to the Loan and Security Agreement, dated as of January 30, 2015 (as amended, restated modified or supplemented from time to time, the **Loan Agreement** ), by and between East West Bank (the **Lender** ) and the Company. The Second Amendment, among other things, amends the Loan Agreement to permit certain transactions between the Company and its subsidiaries and to add intellectual property as collateral security.

The Lender and its affiliates have engaged in, and may in the future engage in, banking and other commercial dealings in the ordinary course of business with the Company or the Company's affiliates. They have received, or may in the future receive, customary fees and commissions for these transactions.

Additional details of the Loan Agreement were previously disclosed in the Company's Current Reports on Form 8-K filed with the Securities and Exchange Commission on February 4, 2015 and May 12, 2016, and are incorporated herein by reference.

The foregoing description of the Second Amendment is qualified in its entirety by reference to the full text of the Second Amendment, a copy of which is filed as Exhibit 10.1 hereto and incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits.**

**(d) Exhibits.**

<b>Exhibit No.</b>	<b>Description</b>
10.1	Second Amendment to Loan and Security Agreement, entered into as of June 27, 2016, by and between East West Bank and CareDx, Inc.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAREDX, INC.

Date: June 29, 2016

By: /s/ Charles Constanti  
Name: Charles Constanti  
Title: Chief Financial Officer

**EXHIBIT INDEX**

<b>Exhibit No.</b>	<b>Description</b>
10.1	Second Amendment to Loan and Security Agreement, entered into as of June 27, 2016, by and between East West Bank and CareDx, Inc.