

PENNANTPARK INVESTMENT CORP
Form 8-K
March 01, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 Or 15(d) of

The Securities Exchange Act of 1934

February 26, 2013

Date of Report (Date of earliest event reported)

PennantPark Investment Corporation

(Exact name of registrant as specified in its charter)

Edgar Filing: PENNANTPARK INVESTMENT CORP - Form 8-K

(State or other jurisdiction
of incorporation)

(Commission
File Number)

(IRS Employer
Identification No.)

590 Madison Avenue, 15th Floor

New York, NY
(Address of principal executive offices)

212-905-1000

10022
(Zip Code)

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders

On February 26, 2013, PennantPark Investment Corporation (the Company) reconvened its annual meeting of stockholders to consider the proposal to elect one director of the Company. The proposal is described in detail in the Company's definitive proxy statement filed with the Securities and Exchange Commission on December 7, 2012. As of December 11, 2012, the record date, 66,356,911 shares of common stock were eligible to vote. A summary of the matter voted upon by the stockholders is set forth below.

Proposal 1. The Company's stockholders elected Arthur H. Penn as a Class III director of the Company, who will serve until the 2016 annual meeting of stockholders, or until his successor is duly elected and qualified or until his earlier resignation, removal from office, death or incapacity. The voting results are set forth below:

| Name | For | Withheld | Broker Non Vote |
|-------------------|------------|----------|-----------------|
| Arthur H. Penn | 33,629,717 | 554,514 | 25,546,009 |
| % of Shares Voted | 98.38% | 1.62% | N/A |

On February 26, 2013, the Company reconvened the special meeting of stockholders to consider one proposal as described in detail in the Company's definitive proxy statement filed with the Securities and Exchange Commission on December 7, 2012. As of December 11, 2012, the record date, 66,356,911 shares of common stock were eligible to vote. A summary of the matter voted upon by the stockholders is set forth below.

Proposal 1. The Company's stockholders approved a proposal to authorize flexibility for the Company, with the approval of its Board of Directors, to sell shares of its common stock during the next 12 months at a price below its then current net asset value per share, subject to certain limitations as described in the proxy statement. The voting results are set forth below:

| | For | Against | Abstain |
|--------------------|------------|-----------|---------|
| With Affiliates | 29,350,679 | 4,277,654 | 209,941 |
| % of Shares Voted | 86.74% | 12.64% | 0.62% |
| Without Affiliates | 28,449,823 | 4,277,654 | 209,941 |
| % of Shares Voted | 86.38% | 12.99% | 0.64% |

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 1, 2013

PennantPark Investment Corporation

/s/ AVIV EFRAT
Aviv Efrat

Chief Financial Officer & Treasurer