#### NORTHERN TRUST CORP

Form 4 April 30, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

FRADKIN STEVEN L

1. Name and Address of Reporting Person \*

			NORTHERN TRUST CORP [NTRS]					(Check all applicable)			
(Last) 50 S. LA SA	Last) (First) (Middle) 3. Date of (Month/D) . LA SALLE ST. 04/27/20			•				Director 10% Owner Selective title Other (specify below) below)			
	(Street)		4. If Ame	ndment, Date Original hth/Day/Year)				Executive Vice President & CFO  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CHICAGO, IL 60603								Form filed by One Reporting Person Person			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	04/27/2007			S	605	D	\$ 63.95	57,852	I	By Trust	
Common Stock	04/27/2007			S	95	D	\$ 63.96	57,757	I	By Trust	
Common Stock	04/27/2007			S	300	D	\$ 63.99	57,457	I	By Trust	
Common Stock	04/27/2007			S	1,519	D	\$ 64	55,938	I	By Trust	
Common Stock	04/27/2007			S	1,035	D	\$ 64.01	54,903	I	By Trust	

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Common Stock	04/27/2007	S	1,648	D	\$ 64.02	53,255	I	By Trust
Common Stock	04/27/2007	S	1,300	D	\$ 64.03	51,955	I	By Trust
Common Stock	04/27/2007	S	200	D	\$ 64.06	51,755	I	By Trust
Common Stock	04/27/2007	S	600	D	\$ 64.07	51,155	I	By Trust
Common Stock	04/27/2007	S	2,274	D	\$ 64.08	48,881	I	By Trust
Common Stock	04/27/2007	S	800	D	\$ 64.09	48,081	I	By Trust
Common Stock	04/27/2007	S	500	D	\$ 64.1	47,581	I	By Trust
Common Stock	04/27/2007	S	1,126	D	\$ 64.11	46,455	I	By Trust
Common Stock	04/27/2007	S	2,200	D	\$ 64.12	44,255	I	By Trust
Common Stock	04/27/2007	S	700	D	\$ 64.14	43,555	I	By Trust
Common Stock	04/27/2007	S	300	D	\$ 64.18	43,255	I	By Trust
Common Stock	04/27/2007	S	780	D	\$ 64.19	42,475	I	By Trust
Common Stock	04/27/2007	S	2,620	D	\$ 64.2	39,855	I	By Trust
Common Stock (1)						36,899	D	
Common Stock						8,415 <u>(2)</u>	I	401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securities	(Instr. 5)	Bene
	Derivative Security	Derivative Conversion	Derivative Conversion (Month/Day/Year) Security or Exercise	Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any	Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Code	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Security or Exercise any Code of	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Security or Exercise any Code of (Month/Day/Year)	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Amount of Security or Exercise any Code of (Month/Day/Year) Underlying	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Amount of Derivative Security or Exercise any Code of (Month/Day/Year) Underlying Security

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Derivative Securities (Instr. 3 and 4)
Security Acquired

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date or

or Number of Shares Own

Follo

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FRADKIN STEVEN L 50 S. LA SALLE ST. CHICAGO, IL 60603

Executive Vice President & CFO

## **Signatures**

Eileen C. Ratzka Attorney-in-Fact for Steven L.

Fradkin

04/30/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) as of 3/31/07

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Stockholders, which was filed with the SEC on September 20, 2012, and its Annual Report on Form 10-K for the year ended December 31, 2011, filed on February 29, 2012, as amended on April 30, 2012. These documents are available free of charge at the SEC s website at www.sec.gov, and by mail at Attention: Investor Relations, 3131 Las Vegas Boulevard South, Las Vegas, Nevada 89109, or by going to the Company s Investor Relations page on its corporate website at www.wynnresorts.com. Additional information regarding the interests of participants in the solicitation of proxies in connection with the transaction is included in the proxy statement that the Company filed with the SEC on January 3, 2013.

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