NOMURA HOLDINGS INC Form 6-K September 01, 2005 Table of Contents

### FORM 6-K

### U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of <u>September 2005</u>.

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

# Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

| indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.  |  |  |  |  |
|--|--|--|--|--|
| Form 20-F <u>X</u> Form 40-F   |  |  |  |  |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):  |  |  |  |  |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):  |  |  |  |  |
| Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934. |  |  |  |  |
| Yes No _X  |  |  |  |  |
| If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-   |  |  |  |  |

Information furnished on this form:

#### **EXHIBIT**

### Exhibit Number

1. [(English Translation) Report on Stock Repurchase]

Date: September 1, 2005

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Tetsu Ozaki

Tetsu Ozaki Senior Managing Director

#### (English Translation) Report on Stock Repurchase

Applicable Provision Article 24-6, paragraph 1 of Securities and Exchange Law

Filing with: Director General of Kanto Finance Bureau

Filing Date August 3, 2005

Reported Month From July 1, 2005 to July 31, 2005

Company Name Nomura Holdings, Inc.

Title and Name of Representative Person President & CEO

Nobuyuki Koga

Address of Principal Office 9-1, Nihonbashi 1-chome, Chuo-ku, Tokyo, JAPAN

Telephone Number 81-3-5255-1000

Contact Person Katsuo Tsunematsu

Nomura Holdings, Inc.

Managing Director of General Affairs Dept.

Contact Place Same as the above
Telephone Number Same as the above

Place of Public Reference Tokyo Stock Exchange, Inc.

(2-1, Kabutocho, Nihonbashi, Chuo-ku, Tokyo)

Osaka Securities Exchange Co., Ltd. (1-8-16, Kitahama, Chuo-ku, Osaka) Nagoya Stock Exchange Co., Ltd. (3-3-17, Sakae, Naka-ku, Nagoya)

| Class of Shares             | Common Stock   |            |                    |                        |
|-----------------------------|--|------------|--------------------|------------------------|
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| 1. State of Stock Repurcha  | se   |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| (1) Repurchase in accordan  | nce with the Resolution at Annual General Meeting of Sha | areholders |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| Not applicable.             |  |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| (2) Repurchase from Subsi   | diaries  |            |                    |                        |
| (2) Reputchase from Subsi   | uianes   |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| Not applicable.             |  |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
| (3) Repurchase in accordan  | nce with the Resolution at the Board of Directors        |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            |                    |                        |
|                             |  |            | Number of Shares   | Purchase Amount (yen)  |
|                             |  |            | - Tumber of Shares | Turchase rimount (jen) |
| Authorization pursuant to   | the resolution of the Board of Directors                 |            |                    |                        |
|                             |  |            |                    |                        |
| (May 18, 2005)              |  |            | 25,000,000         | 37,500,000,000         |
|                             |  | Date       |                    |                        |
| n 1 1 1 1 7 5               | . 134  | July 4     | 1,200,000          | 1,602,100,000          |
| Repurchase during the Repor | oorted Month   | 5          | 924,800            | 1,232,533,600          |
| (Repurchase Date)           |  | 6          | 1,000,000          | 1,334,200,000          |
|                             |  | 7          | 1,503,000          | 1,998,154,000          |
| Total                       |  |            | 4,627,800          | 6,156,987,600          |
| Total                       |  |            | 4,027,000          | 0,130,967,000          |

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6,156,987,600

16.4%

4,627,800

18.5%

Aggregate Number and Amount of Shares Repurchased as of the end of the

Reported Month

Progress of Stock Repurchase (%)

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| 2. Disposition of Shares Repurchased Not applicable. |                     |
|--|---------------------|
|  |                     |
| 3. Possession of Shares Repurchased                  |                     |
|  |                     |
|  | as of July 31, 2005 |
|  | Number of Shares    |
| Total Number of Outstanding Shares                   | 1,965,919,860       |
| Number of Shares Repurchased                         | 50,036,800          |