AVERITT RICHARD G Form 5

October 07, 2002

## FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b). Form 3 Holdings Reported Form 4 Transactions Reported

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0362 Expires:

September 30, 1998

Estimated average

82,111

15,920

D

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**ESOP** 

burden hours per response 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section (Print or Type 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Responses) Investment Company Act of 1940 6. Relationship of Reporting Person(s) to 1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol Issuer (Check all applicable) 10% Raymond James Financial (RJF) Averitt Richard G. Director Owner 3. IRS or 4. Statement Other Social Security (Last) (First) (Middle) for X Officer (give (specify Number of Reporting Month/Year title below) below) 880 Carillon Person Chairman & CEO of RJFS. Sept. 2002 **Parkway** (Voluntary) 5. If 7. Individual orJoint/Group Filing (Check (Street) Amendment Applicable Line) Date of X Form filed by One Reporting Person Original FL 33716 St. Petersburg (Month/Year) Form filed by More than One Reporting Person (State) (ZIP) (City) Table I - Non Derivative Securities Acquired, Disposed of, or beneficially Owned 3. 1. Title of Security 2. Trans-Trans-4. Securities Acquired (A) 5. Amount of 6. Owner- 7. Nature of Indirect (Instr. 3) action action or Disposed of (D) Securities ship (Instr. 3, 4 and 5) Beneficial Date Code Beneficially Form: (Instr. 8) Owned at Direct Owner-(Month/ End of Month (D) or ship Indirect Day/ (A) (Instr. 3 and 4) (I) Year) or Raymond James Financial, Inc. (RJF) (Instr. 4) Amount (D) Price (Instr. 4)

**RJF Common Stock** 

**RJF Common Stock** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over) SEC 2270 (9-96)

FORM 5	Table II	– Deriv	ative Sec		ired, Disposed of			l (e.g., pu	ıts, calls,			
(continued)				warrants,	options, convertib	ole securition	es)					
1. Title of	2		4	5 N			7 T:41 1			0		
Derivative	2. Conver-	2 Trong	4.	5. Number or Deriv-	6. Date Exer-		7. Title and Amount of		O Deigo	9.	10 Orranga	- 11.Nature
Security	Conver-	5. ITalis	- 11ans-	ative	0. Date Exer-		Alliount of		o. Filee	Nullibel	10.Owner	- 11.Nature
				Securities			Underlying					
(Instr. 3)	sion or	action	action	Ac-	cisable and		Securities		of	of deriv-	- ship	of
(======================================				quired (A)			(Instr. 3 and				г	-
	Exercise	Date	Code	or Dis-	Expiration		4)		deriv-	ative	Form of	Indirect
			(Instr.	posed of	•		,					
	Price of		8)	(D)	Date				Secur-	Secur-	Security:	Benefi-
			,	(Instr. 3, 4,							•	
	Deri-	(Month/	,	and 5)	(Month/Day/				ity	ities	Direct	cial
	vative	Day/			Year)				(Instr.	Bene-	(D) or	Owner-
	Security	Year)							5)	ficially	Indirect	ship
										Owned	(I)	(Instr. 4)
										at End	Instr. 4)	
										of deriv-	-	
										Month		
								Amount	į			
					Date	Expira-		or		(Instr. 4)	)	
						.•	mt a	Number	•			
					Exer-	tion	Title	of				
				(1)	cisible (D)	Date		Shares				
Employee				(A)	(D)							
Stock Option							Common					
(Right to buy					Current	1/18/2003		4.800	22.166	_		
Employee	,									7		
Stock Option								,	22.100	7		
							Common	,	, 22:100	7		
(Right to buy					11/18/2002	1/18/2003		ŕ	22.166			
					11/18/2002	1/18/2003		ŕ				
(Right to buy	)				11/18/2002	1/18/2003		ŕ				
(Right to buy Employee Stock Option (Right to buy	)				11/18/2002 11/18/2002		stock Common	1,200		7		
(Right to buy Employee Stock Option (Right to buy Employee	)						S stock  Common stock	1,200	22.166	7		
(Right to buy Employee Stock Option (Right to buy Employee Stock Option	)   				11/18/2002	1/18/2005	S stock Common stock Common	1,200 2,400	22.166	7		
(Right to buy Employee Stock Option (Right to buy Employee Stock Option (Right to buy	)   					1/18/2005	S stock Common stock Common	1,200 2,400	22.166	7		
(Right to buy Employee Stock Option (Right to buy Employee Stock Option (Right to buy Employee					11/18/2002	1/18/2005	Common stock Common stock	1,200 2,400	22.166	7		
(Right to buy Employee Stock Option (Right to buy Employee Stock Option (Right to buy Employee Stock Option	)				11/18/2002 11/18/2003	1/18/2005 1/18/2005	Common stock Common stock Common	1,200 2,400 800	22.166° 20.6250 20.6250	7		
(Right to buy Employee Stock Option (Right to buy Employee Stock Option (Right to buy Employee Stock Option (Right to buy	)				11/18/2002	1/18/2005 1/18/2005	Common stock Common stock Common	1,200 2,400 800	22.166	7		
(Right to buy Employee Stock Option					11/18/2002 11/18/2003	1/18/2005 1/18/2005	Common stock Common stock Common stock	1,200 2,400 800	22.166° 20.6250 20.6250	7		
(Right to buy Employee Stock Option					11/18/2002 11/18/2003 11/18/2004	1/18/2005 1/18/2005 1/18/2005	Common stock Common stock Common stock Common stock Common	1,200 2,400 800	22.166° 20.6250 20.6250 20.6250	7 ) )		
(Right to buy Employee Stock Option (Right to buy					11/18/2002 11/18/2003	1/18/2005 1/18/2005 1/18/2005	Common stock Common stock Common stock Common stock Common	1,200 2,400 800	22.166° 20.6250 20.6250	7 ) )		
(Right to buy Employee Stock Option (Right to buy Employee					11/18/2002 11/18/2003 11/18/2004	1/18/2005 1/18/2005 1/18/2005	Common stock Common stock Common stock Common stock Common stock	1,200 2,400 800	22.166° 20.6250 20.6250 20.6250	7 ) )		
(Right to buy Employee Stock Option					11/18/2002 11/18/2003 11/18/2004 11/28/2004	1/18/2005 1/18/2005 1/18/2005 1/28/2007	Common stock Common stock Common stock Common stock Common stock Common stock	1,200 2,400 800 800 2,609	22.166° 20.6250 20.6250 20.6250 32.0000	7		
(Right to buy Employee Stock Option (Right to buy Employee					11/18/2002 11/18/2003 11/18/2004 11/28/2004 11/28/2005	1/18/2005 1/18/2005 1/18/2005 1/28/2007	Common stock Common stock Common stock Common stock Common stock Common stock	1,200 2,400 800 800 2,609	22.166° 20.6250 20.6250 20.6250 32.0000	7	n	
(Right to buy Employee Stock Option					11/18/2002 11/18/2003 11/18/2004 11/28/2004	1/18/2005 1/18/2005 1/18/2005 1/28/2007	Common stock Common stock Common stock Common stock Common stock Common stock	1,200 2,400 800 800 2,609	22.166° 20.6250 20.6250 20.6250 32.0000	7	D	

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#### (Right to buy)

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations,
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ RICHARD A. AVERITT

\*\*Signature of Reporting Person

October 7, 2002 Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient. *see* Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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