#### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

#### **DUN & BRADSTREET CORP/NW**

Form 4

March 05, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Dave Rishi

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

below)

Symbol

**DUN & BRADSTREET CORP/NW** [DNB]

(Check all applicable)

(Last)

Stock

(First) (Middle) 3. Date of Earliest Transaction

4. If Amendment, Date Original

Director 10% Owner X\_ Officer (give title Other (specify

103 JFK PARKWAY

(Street)

(Month/Day/Year) 03/03/2015

below) Chief Marketing Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SHORT HILLS, NJ 07078

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of

| Security        | (Month/Day/Year) | Execution Date, if | Transactio | omr Disposed of (D)    | Securities       | Ownership   | Indirect   |
|-----------------|------------------|--------------------|------------|------------------------|------------------|-------------|------------|
| (Instr. 3)      |                  | any                | Code       | (Instr. 3, 4 and 5)    | Beneficially     | Form:       | Beneficial |
|                 |                  | (Month/Day/Year)   | (Instr. 8) |                        | Owned            | Direct (D)  | Ownership  |
|                 |                  |                    |            |                        | Following        | or Indirect | (Instr. 4) |
|                 |                  |                    |            | (4)                    | Reported         | (I)         |            |
|                 |                  |                    |            | (A)                    | Transaction(s)   | (Instr. 4)  |            |
|                 |                  |                    | Code V     | or<br>Amount (D) Price | (Instr. 3 and 4) |             |            |
| Common<br>Stock | 03/03/2015       |                    | M          | 629 <u>(1)</u> A \$ 0  | 3,204.2537       | D           |            |
| Common          | 03/03/2015       |                    | F          | 434 (2) D \$           | 2,770.2537       | D           |            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | Derivative | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pri<br>Deriv<br>Secun<br>(Instr |
|---|---|---|--------------------------------------|------------|-------------------------------------|--------------------|---|--|------------------------------------|
|   |   |   | Code V                               | V (A) (D)  | Date<br>Exercisable                 | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                    |
| Leveraged<br>Restricted<br>Stock<br>Units           | (1)   | 03/03/2015                              | M                                    | 592<br>(1) | <u>(1)</u>                          | 03/03/2017         | Comon<br>Stock  | 629 (1)                                | \$                                 |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dave Rishi 103 JFK PARKWAY SHORT HILLS, NJ 07078

Chief Marketing Officer

### **Signatures**

/s/ Kristin R. Kaldor for Rishi Dave by POA previously filed

03/05/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting and payout of the first tranche (1/3) of the leveraged restricted stock units (1,778) granted on March 3, 2014 at (1) 106.3% based on the issuer's stock price performance during the performance period from 1/1/2014-12/31/2014 and includes 37 additional shares above the target number of shares originally reported.
- (2) The reporting person made an irrevocable election in November 2014 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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