Edgar Filing: APOGEE ENTERPRISES, INC. - Form 4

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APOGEE EN	NTERPRISES, I	NC.									
Form 4	2014										
October 02, 2	_										
FORN	14 _{UNITED}	STATES	SECUR	ITIES A	ND EX(THAP	NGE (COMMISSION	т	PPROVAL	
		JIIIL		hington,					OMB Number:	3235-0287	
Check the	er			0					Expires:	January 31,	
-	if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated	Estimated average		
Section 1		SECURITIES						burden hou	burden hours per		
Form 5	^{m 4 or} response 0.5 ^{m 5} Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								. 0.5		
	obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section										
may cont See Instru		30(h)	of the Inv	vestment	Compan	y Act	of 19	40			
1(b).											
(Print or Type F	Responses)										
1. Name and A	ddress of Reporting	Person *	2 Issuer	Name and	Ticker or	Tradin	σ	5. Relationship of	f Reporting Per	son(s) to	
MARZEC F	Symbol	2. Issuer Name and Ticker or Trading Symbol					Issuer				
APOGEE ENTERPRISES INC						k all applicable)					
			[APOG]					(Che	ck all applicabl	()	
(Last) (First) (Middle) 36 PARK LANE			3. Date of Earliest Transaction (Month/Day/Year) 09/30/2014					X_ Director 10% Owner Officer (give titleOther (specify below)below)			
5017 IXX L											
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
						One Reporting Person					
MINNEAPO	DLIS, MN 55416	5						Form filed by I Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Da			3. Transition	4. Secur			5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Year	on Date, If	TransactionAcquired (A) or Code Disposed of (D)				Securities Beneficially	Form: Direct (D) or	Beneficial		
		(Month/	Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)			5)	Owned	Indirect (I)	Ownership	
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A) or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Common	09/26/2014			G V	900	D	\$0	26,595 <u>(4)</u>	D		
Stock											
Common Stock								300	Ι	By Son	
SLOCK											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units <u>(1)</u>	\$ 0 <u>(2)</u>	09/30/2014	A <u>(3)</u>	27	<u>(1)</u>	<u>(1)</u>	Common Stock	27	\$ 39.8

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
MARZEC ROBERT J 36 PARK LANE MINNEAPOLIS, MN 55416	Х			
Signatures				

/s/ Patricia A. Beithon, Attorney-in-Fact for Robert J. Marzec

**Signature of Reporting Person

Date

10/01/2014

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The phantom stock units were allocated under the Deferred Compensation Plan for Non-Employee Directors. The units of phantom stock(1) will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.
- (2) Settled 1-for-1.
- (3) Units acquired pursuant to a dividend equivalent reinvestment feature of the Deferred Compensation Plan for Non-Employee Directors.
- (4) Includes restricted stock awards under the 2009 Non-Employee Director Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.