

YASINSKY JOHN B

Form 3

May 08, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

YASINSKY JOHN B

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/08/2013

3. Issuer Name and Ticker or Trading Symbol
TriState Capital Holdings, Inc. [TSC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

ONE OXFORD CENTRE, 301
GRANT STREET, SUITE 2700

(Street)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

PITTSBURGH, PA 15219

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

COMMON STOCK

27,500 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
STOCK OPTIONS (RIGHT TO BUY)	07/22/2009 ⁽²⁾	01/22/2017	COMMON STOCK	5,000	\$ 10	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/18/2010 ⁽³⁾	12/18/2017	COMMON STOCK	5,000	\$ 10	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/18/2011 ⁽⁴⁾	12/18/2018	COMMON STOCK	6,000	\$ 12	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/15/2012 ⁽⁵⁾	12/15/2019	COMMON STOCK	6,000	\$ 8.75	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2013 ⁽⁶⁾	12/31/2020	COMMON STOCK	6,000	\$ 8	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2014 ⁽⁷⁾	12/31/2021	COMMON STOCK	6,000	\$ 9.32	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2015 ⁽⁸⁾	12/31/2022	COMMON STOCK	6,000	\$ 10.25	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YASINSKY JOHN B ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219	Â X	Â	Â	Â

Signatures

/s/ Fenimore, Kay, Harrison & Ford,
LLP by Brent Standefer, Jr.,
Attorney-in-Fact

05/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held jointly of record by the Reporting Person and his spouse.

(2) 2,500 of these options vested and became exercisable on or about 07/22/2009, and the remaining 2,500 options vested and became exercisable on 01/22/2012.

(3) 2,500 of these options vested and became exercisable on or about 06/18/2010, and the remaining 2,500 options vested and became exercisable on 12/18/2012.

(4) 3,000 of these options vested and became exercisable on 06/18/2011, and the remaining 3,000 options will vest and become exercisable on 12/18/2013.

(5)

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3,000 of these options vested and became exercisable on 06/15/2012, and the remaining 3,000 options will vest and become exercisable on 12/15/2014.

- (6) 3,000 of these options will vest and become exercisable on or about 06/30/2013, and the remaining 3,000 options will vest and become exercisable on 12/31/2015.
- (7) 3,000 of these options will vest and become exercisable on or about 6/30/2014, and the remaining 3,000 options will vest and become exercisable on 12/31/2016.
- (8) 3,000 of these options will vest and become exercisable on or about 6/30/2015, and the remaining 3,000 options will vest and become exercisable on 12/31/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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