

BRUECK STEVEN R J
Form 4
August 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRUECK STEVEN R J

2. Issuer Name and Ticker or Trading Symbol
LIGHTPATH TECHNOLOGIES INC [LPTH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/01/2012

Director 10% Owner
 Officer (give title below) Other (specify below)

2603 CHALLENGER TECH CT, SUITE 100

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

ORLANDO, FL 32826

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Class A Common ⁽³⁾					12,451	D	
Class A Common	08/01/2012		A		1,457 ⁽⁶⁾	A	\$ 1.03

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Non-qualified stock option	\$ 2.77					11/10/2006 11/10/2015	Class A Common	2,000
Non-qualified stock option	\$ 2.77					11/10/2007 11/10/2015	Class A Common	2,000
Non-qualified stock option	\$ 2.77					11/10/2008 11/10/2015	Class A Common	2,000
Restricted stock unit	\$ 0 ⁽¹⁾					11/10/2006 11/10/2015	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					11/10/2007 11/10/2015	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					11/10/2008 11/10/2015	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					10/20/2004 10/20/2014	Class A Common	6,000
Restricted stock unit	\$ 0 ⁽¹⁾					10/20/2005 10/20/2014	Class A Common	2,800
Restricted stock unit	\$ 0 ⁽¹⁾					10/20/2006 10/20/2014	Class A Common	2,800
Restricted stock unit	\$ 0 ⁽¹⁾					10/27/2007 10/27/2016	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					10/27/2008 10/27/2016	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					10/27/2009 10/27/2016	Class A Common	3,300
Restricted stock unit	\$ 0 ⁽¹⁾					⁽²⁾ 11/06/2017	Class A Common	10,000
8% Convertible	\$ 1.54					08/01/2008 08/01/2011	Class A Common	12,000

