

FLOTEK INDUSTRIES INC/CN/  
Form 4  
June 02, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DUMAS JERRY D SR

2. Issuer Name and Ticker or Trading Symbol  
FLOTEK INDUSTRIES INC/CN/  
[FTK]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
2930 W. SAM HOUSTON PKWY.  
N STE. 300  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/28/2010

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of Board

HOUSTON, TX 77043

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/28/2010		S		41,902	D	\$ 1.407
Common Stock	06/01/2010		S		8,000	D	\$ 1.352
Common Stock							18,096
Common Stock							26,000
							Saxton River Corporation
							Dora Tes Basileas Foundation

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 9.4					09/21/2005	09/20/2014	Common Stock	130,279
Stock Option	\$ 2.125					12/10/2005	12/09/2014	Common Stock	66,940
Stock Option	\$ 13.805					03/13/2008	03/12/2013	Common Stock	54,348
Stock Option	\$ 22.37					05/18/2008	05/17/2013	Common Stock	66,600
Stock Option	\$ 22.75					03/28/2009	03/27/2014	Common Stock	27,776
Stock Option	\$ 2.51					02/16/2010	02/15/2015	Common Stock	200,000
Preferred Stock	\$ 2.3					08/12/2009	<u>(1)</u>	Common Stock	86,956
Exercisable Warrants	\$ 2.31					08/12/2009	08/11/2014	Common Stock	31,000
Exercisable Warrants	\$ 2.45					11/09/2009	10/11/2017	Common Stock	100,000

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

DUMAS JERRY D SR  
2930 W. SAM HOUSTON PKWY. N STE. 300  
HOUSTON, TX 77043

X

Chairman  
of Board

## Signatures

/s/ Jerry D.  
Dumas, Sr.

06/02/2010

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Preferred stock is perpetual and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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