

CAPITAL ONE FINANCIAL CORP

Form 4

July 31, 2007

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FAIRBANK RICHARD D**

(Last) (First) (Middle)  
**1680 CAPITAL ONE DRIVE**  
(Street)

**MCLEAN, VA 22102**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**CAPITAL ONE FINANCIAL CORP [COF]**

3. Date of Earliest Transaction (Month/Day/Year)  
**07/30/2007**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman, CEO and President**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock <u>(1)</u> <u>(2)</u>	07/30/2007		S		500	D	\$ 73.52
Common Stock <u>(1)</u>	07/30/2007		S		100	D	\$ 73.53
Common Stock <u>(1)</u>	07/30/2007		S		139	D	\$ 73.54
Common Stock <u>(1)</u>	07/30/2007		S		300	D	\$ 73.56
Common Stock <u>(1)</u>	07/30/2007		S		300	D	\$ 73.59
							2,211,377
							2,211,277
							2,211,138
							2,210,838
							2,210,538

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Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.61	2,210,438	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.63	2,210,338	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.64	2,210,238	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.67	2,210,138	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.68	2,210,038	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.71	2,209,938	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 73.72	2,209,738	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.74	2,209,638	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.8	2,209,538	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 73.82	2,209,338	D
Common Stock <u>(1)</u>	07/30/2007	S	300	D	\$ 73.84	2,209,038	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 73.85	2,208,938	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 73.91	2,208,738	D
Common Stock <u>(1)</u>	07/30/2007	S	300	D	\$ 73.98	2,208,438	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 74.01	2,208,338	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 74.02	2,208,238	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 74.04	2,208,038	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 74.05	2,207,838	D
Common Stock <u>(1)</u>	07/30/2007	S	100	D	\$ 74.06	2,207,738	D
Common Stock <u>(1)</u>	07/30/2007	S	200	D	\$ 74.07	2,207,538	D
	07/30/2007	S	200	D		2,207,338	D

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Common Stock <u>(1)</u>					\$						
					74.08						
Common Stock <u>(1)</u>	07/30/2007		S	400	D	\$	2,206,938	D			
						74.09					
Common Stock <u>(1)</u>	07/30/2007		S	200	D	\$	2,206,738	D			
						74.11					
Common Stock							107,502	I			By Fairbank Morris

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu... Deriv... Secur... Bene... Own... Follo... Repo... Trans... (Instr...
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code V (A) (D)			

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FAIRBANK RICHARD D 1680 CAPITAL ONE DRIVE MCLEAN, VA 22102	X		Chairman, CEO and President	

## Signatures

/s/ Tangelia S. Richter (POA) on file for Richard D.  
Fairbank

07/31/2007

Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed pursuant to a trading plan entered into by the Reporting Person on February 1, 2007, in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Due to SEC rules limiting the number of non-derivative transactions that can be reported on a single Form 4, this Form 4 is a continuation of the Form 4 filed for the same date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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