

HOTZ ROBERT H
Form 4
January 03, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HOTZ ROBERT H

2. Issuer Name **and** Ticker or Trading
Symbol
**UNIVERSAL HEALTH SERVICES
INC [UHS]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

**HOULIHAN LOKEY HOWARD &
ZUKIN, 245 PARK AVENUE,
20TH FLOOR**

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2004

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

NEW YORK, NY 10167

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common Stock	12/31/2004		M	470.985	A \$ 44.5	36,604.985	D
Class B Common Stock	12/31/2004		D	470.985	D \$ 44.5	36,134	D
Class B Common Stock	12/30/2005		M	987.49	A \$ 46.74	37,121.49	D

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Class B Common Stock	12/30/2005	D	987.49	D	\$ 46.74	36,134	D
Class B Common Stock	12/29/2006	M	2,384.61	A	\$ 55.43	38,518.61	D
Class B Common Stock	12/29/2006	D	2,384.61	D	\$ 55.43	36,134	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date Date	Title Amount Number Shares
Phantom Stock	<u>(1)</u>	12/31/2004		M	470.985	12/31/2004 12/31/2004	Common B 470.9
Phantom Stock	<u>(1)</u>	12/30/2005		M	987.49	12/30/2005 12/30/2005	Common B 987.4
Phantom Stock	<u>(1)</u>	12/29/2006		M	2,384.61	12/29/2006 12/29/2006	Common B 2,384.

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HOTZ ROBERT H
HOULIHAN LOKEY HOWARD & ZUKIN
245 PARK AVENUE, 20TH FLOOR
NEW YORK, NY 10167

X

Signatures

Steve Filton, Attorney
in Fact

01/03/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1 for 1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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