

Edgar Filing: HAENER WILLIAM J - Form 4

HAENER WILLIAM J
 Form 4
 January 17, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 William J. Haener

Fairlane Plaza South, Suite 1100
 330 Town Center Drive
 MI, Dearborn 48126

2. Issuer Name and Ticker or Trading Symbol
 CMS Energy Corporation (CMS)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Day/Year
 1/15/2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 () Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Executive Vice President and COO-Gas

7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans- action Date | 2A. Exec- ution Date | 3. Trans- action Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/ D | Price | 5. Amount of Securities Beneficially Owned Following Reported Trans(s) |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|--------|--|
| Common Stock (CMS) | 1/15/ 2003 | 1/15/ 2003 | F | 458 | D | \$9.98 | 74838.767 1 |
| Common Stock (CMS) | | | | | | | 1591 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Con- version or Exer- cise Price of Deriva- tive Secu- rity | 3. Trans- action Date | 3A. Deemed Execu- tion Date | 4. Trans- action Code | 5. Number of De rivative Secu rities Acqui red(A) or Dis posed of(D) Amount | 6. Date Exer- cisable and Expiration Date (Month/ Day/Year) Date Expir- ation Date | 7. Title and Amount of Underlying Securities Title and Number of Shares | 8. P of vat Sec rit |
|---------------------------------------|--|-----------------------------|--------------------------------------|-----------------------------|--|---|---|---------------------------------|
|---------------------------------------|--|-----------------------------|--------------------------------------|-----------------------------|--|---|---|---------------------------------|

Edgar Filing: HAENER WILLIAM J - Form 4

| | (Year) | (Year) | | | | | | | |
|-------------------------------|--------|--------|--|--|--|--|--|--|--------------------|
| Emp Stock Options-1997 | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options-1998 | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options-1999 | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options-2000 | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options - 2002 | | | | | | | | | Common Stock (CMS) |
| Emp Stock Options-2001 | | | | | | | | | Common Stock (CMS) |
| Employee Stock Options - 2002 | | | | | | | | | Common Stock (CMS) |
| Phantom Stock Units | | | | | | | | | Common Stock (CMS) |

Explanation of Responses:

1. Total includes 39,678 shares of Restricted Stock, of which 17,360 shares are nominal. (The actual number of nominal shares received will be determined by the passage of time and achievement of performance objectives under CMS Energy Corporation's Performance Incentive Stock Plan.)

SIGNATURE OF REPORTING PERSON

William J. Haener

William J. Haener (By: S.K. Smith or M.D. VanHemert, per Pwr of Attny filed with the SEC)