

CHASE PETER R
Form 4
April 30, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)
295 UNIVERSITY AVE.

(Street)

WESTWOOD, MA 02090

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)
02/15/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (D) | Price |
| Chase Corporation Common Stock | 02/15/2019 | | G | V | 2,200 | D | \$ 0 |
| | | | | | 350,127 ⁽⁷⁾ | D | |
| Chase Corporation Common Stock | 02/25/2019 | | G | V | 308 | D | \$ 0 |
| | | | | | 155,897 ⁽⁸⁾ | D | |
| Chase Corporation Common Stock | 02/26/2019 | | G | V | 154 | D | \$ 0 |
| | | | | | 154,973 ⁽⁹⁾ | D | |

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| | | | | | | | | | |
|--------------------------------|------------|---|---|--------|---|------|---------------------|---|--|
| Chase Corporation Common Stock | 02/27/2019 | G | V | 616 | D | \$ 0 | 132,752 <u>(10)</u> | D | |
| Chase Corporation Common Stock | 04/17/2019 | G | V | 18,000 | D | \$ 0 | 106,305 <u>(10)</u> | D | |
| Chase Corporation Common Stock | 04/25/2019 | G | V | 9,000 | D | \$ 0 | 97,305 | D | |
| Chase Corporation Common Stock | | | | | | | 300,913 <u>(1)</u> | I | Peter R. Chase Insurance Trust |
| Chase Corporation Common Stock | | | | | | | 241,195 <u>(2)</u> | I | Peter R. Chase 2018 Qualified Annuity Trust |
| Chase Corporation Common Stock | | | | | | | 80,612 <u>(3)</u> | I | Peter R. Chase 2019 Qualified Annuity Trust #1 |
| Chase Corporation Common Stock | | | | | | | 193,922 <u>(4)</u> | I | Peter R. Chase 2019 Qualified Annuity Trust #2 |
| Chase Corporation Common Stock | | | | | | | 30,052 <u>(5)</u> | I | Peter R. Chase Trust |
| Chase Corporation Common Stock | | | | | | | 1,470 <u>(6)</u> | I | Chase 2015 Irrevocable Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| CHASE PETER R 295 UNIVERSITY AVE. WESTWOOD, MA 02090 | X | X | Executive Chairman | |

Signatures

Marlene Torio by power of attorney
 04/30/2019
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust subsequent to exempt transfer from Mr. Chase. The Peter R. Chase 2018 Qualified Annuity Trust had previously been identified as "Peter R. Chase 2018 Annuity Trust - RJ" and "Peter R. Chase 2018 Qualified Annuity Trust #2 - ML".
- (3) Reflects shares held by the Peter R. Chase 2019 Qualified Annuity Trust #1 subsequent to exempt transfer from Mr. Chase.
- (4) Reflects shares held by the Peter R. Chase 2019 Qualified Annuity Trust #2 subsequent to exempt transfer from Mr. Chase.
- (5) Represents shares held by the Peter R. Chase Trust subsequent to exempt transfer from Mr. Chase.
- (6) Represents shares held by the Chase 2015 Irrevocable Trust subsequent to exempt transfer from Mr. Chase.
- (7) Reflects exempt transfers (i) from Mr. Chase to Peter R. Chase 2019 Qualified Annuity Trust #1, and (ii) to Mr. Chase from Peter R. Chase 2016 Qualified Annuity Trust #2 and Peter R. Chase 2018 Qualified Annuity Trust.
- (8) Reflects exempt transfers from Mr. Chase to Peter R. Chase 2019 Qualified Annuity Trust #2.
- (9) Reflects exempt transfer from Mr. Chase to Chase 2015 Irrevocable Trust.

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(10) Reflects exempt transfers from Mr. Chase to Peter R. Chase Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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