Edgar Filing: NRG ENERGY, INC. - Form 4

NRG ENERC Form 4 May 05, 2015										
FORM	Δ							-	PPROVAL	
	UNITEDS		RITIES A shington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5	Filed purs	STATEMENT OF CHANGES IN BENEFICIAL OSECURITIES Filed pursuant to Section 16(a) of the Securities Exch							imated average den hours per	
obligation: may contin <i>See</i> Instruct 1(b).	nue. Section 17(a) of the Public U 30(h) of the I	•	•	- ·		f 1935 or Sectio 40	'n		
(Print or Type Ro	esponses)									
CRANE DAVID W Symbol			r Name and Ticker or Trading NERGY, INC. [NRG]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	liddle) 3. Date	3. Date of Earliest Transaction			(Check all applicable)				
			h/Day/Year) /2015				X Director 10% Owner X Officer (give title Other (specify below) below) President & CEO			
	(Street)	Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PRINCETON	N, NJ 08540							More than One R		
(City)	(State) (2	Zip) Tal	ole I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if		Code	TransactionAcquired (A) or Code Disposed of (D)			SecuritiesFBeneficially()OwnedIFollowing()Reported()	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Stock, par value \$.01 per share	05/01/2015		А	3,265	А	<u>(1)</u>	1,092,697 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
CRANE DAVID W NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540	Х		President & CEO					
Signatures								
/s/ Brian Curci, under Power of Attorney	f 05/05/2015							
<u>**</u> Signature of Reporting Person		Dat	e					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents dividend equivalent rights accrued on the reporting person's restricted stock units or market stock units, which become
 (1) exercisable proportionately with the restricted stock units or market stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- (2) Includes 16,256 dividend equivalent rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.