

Maglaque Charles Neal  
Form 3  
June 27, 2012

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Maglaque Charles Neal                   |         | (Month/Day/Year)                     | AMERIPRISE FINANCIAL INC [AMP]   |  |
| (Last)                                    | (First) | (Middle)                             | 06/18/2012   |  |
| 1098 AMERIPRISE FINANCIAL CENTER          |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| MINNEAPOLIS, Â MN Â 55474                 |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | Pres. Advice & Wealth Mgt, COO   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 10,513  | D  | Â   |
| Common Stock                    | 333.68  | I  | By 401(k) Plan <u>(1)</u>                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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|                                      | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|--------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Phantom Stock                        | Â (2)            | Â (2)           | Common Stock | 3,045.443                  | \$ (3)              | D   | Â |
| Employee Stock Option (right to buy) | Â (4)            | 10/02/2015      | Common Stock | 6,800                      | \$ 35.035           | D   | Â |
| Employee Stock Option (right to buy) | Â (5)            | 01/27/2016      | Common Stock | 14,317                     | \$ 43.655           | D   | Â |
| Employee Stock Option (right to buy) | Â (6)            | 01/30/2017      | Common Stock | 5,753                      | \$ 58.73            | D   | Â |
| Employee Stock Option (right to buy) | Â (7)            | 01/29/2018      | Common Stock | 14,286                     | \$ 52.86            | D   | Â |
| Employee Stock Option (right to buy) | Â (8)            | 02/03/2019      | Common Stock | 36,734                     | \$ 21.34            | D   | Â |
| Employee Stock Option (right to buy) | Â (9)            | 10/02/2019      | Common Stock | 4,766                      | \$ 36.97            | D   | Â |
| Employee Stock Option (right to buy) | Â (10)           | 02/09/2020      | Common Stock | 14,842                     | \$ 37.23            | D   | Â |
| Employee Stock Option (right to buy) | Â (11)           | 02/08/2021      | Common Stock | 5,986                      | \$ 58.7             | D   | Â |
| Employee Stock Option (right to buy) | Â (12)           | 02/07/2022      | Common Stock | 8,058                      | \$ 54.33            | D   | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                  |       |
|--|---------------|-----------|----------------------------------|-------|
|  | Director      | 10% Owner | Officer                          | Other |
| Maglaque Charles Neal<br>1098 AMERIPRISE FINANCIAL CENTER<br>MINNEAPOLIS, MN 55474 | Â             | Â         | Â Pres. Advice & Wealth Mgt, COO | Â     |

## Signatures

/s/ Thomas R. Moore for Charles Neal  
Maglaque

06/27/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise Financial 401(k) plan as of June 18, 2012. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

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- (2) Shares of phantom stock are payable in shares of Ameriprise common stock following termination of employment or during a specified future year in accordance with The Ameriprise Financial Deferred Compensation Plan.
- (3) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.
- (4) The option vested 100% on October 3, 2009.
- (5) The option vested 100% on January 26, 2010.
- (6) The option vested 100% on January 30, 2010.
- (7) The option vested 100% on January 29, 2011.
- (8) The option vested 100% on February 2, 2012.
- (9) The option vests in three equal installments beginning on October 1, 2010.
- (10) The option vests in three equal installments beginning on February 8, 2011.
- (11) The option vests in three equal installments beginning on February 7, 2012.
- (12) The option vests in three equal installments beginning on February 6, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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