Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 4

SIMMONS FIRST NATIONAL CORP Form 4 January 29, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FEHLMAN ROBERT A Issuer Symbol SIMMONS FIRST NATIONAL (Check all applicable) CORP [SFNC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) SIMMONS FIRST NATIONAL 01/27/2014 SEVP, CFO & Treasurer CORP, 501 MAIN STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting PINE BLUFF, AR 71611 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of 6. Ownership Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Ownership (Instr. 8) Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 2.500\$ 01/27/2014 SFNC A A 24,081 D (1) 36.67 **SFNC** 6,325 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SFNC

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|--|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Option | \$ 24.5 | 05/23/2005 | | Х | 0 | 05/23/2005 | 05/23/2015 | Common | 376 |
| Incentive Stock Option | \$ 24.5 | 05/23/2005 | | Х | 0 | 05/23/2007 | 05/23/2015 | Common | 188 |
| Incentive Stock Option | \$ 24.5 | 05/23/2005 | | Х | 0 | 05/23/2008 | 05/23/2015 | Common | 188 |
| Incentive Stock Option | \$ 24.5 | 05/23/2005 | | Х | 0 | 05/23/2009 | 05/23/2015 | Common | 188 |
| Incentive Stock Option | \$ 26.19 | 05/22/2006 | | Х | 0 | 05/22/2007 | 05/20/2016 | Common | 200 |
| Incentive Stock Option | \$ 26.19 | 05/22/2006 | | Х | 0 | 05/22/2008 | 05/20/2016 | Common | 200 |
| Incentive Stock Option | \$ 26.19 | 05/22/2006 | | Х | 0 | 05/22/2009 | 05/20/2016 | Common | 200 |
| Incentive Stock Option | \$ 26.19 | 05/22/2006 | | Х | 0 | 05/22/2010 | 05/20/2016 | Common | 200 |
| Incentive Stock Option | \$ 26.19 | 05/22/2006 | | Х | 0 | 05/22/2011 | 05/20/2016 | Common | 200 |
| Incentive Stock Option | \$ 28.42 | 05/31/2007 | | Х | 0 | 05/31/2008 | 05/31/2017 | Common | 240 |
| Incentive Stock Option | \$ 28.42 | 05/31/2007 | | Х | 0 | 05/31/2009 | 05/31/2017 | Common | 240 |
| Incentive Stock Option | \$ 28.42 | 05/31/2007 | | Х | 0 | 05/31/2010 | 05/31/2017 | Common | 240 |
| Incentive Stock Option | \$ 28.42 | 05/31/2007 | | Х | 0 | 05/31/2011 | 05/31/2017 | Common | 240 |
| Incentive Stock Option | \$ 28.42 | 05/31/2007 | | Х | 0 | 05/31/2012 | 05/31/2017 | Common | 240 |

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| Non-Qualified Stock Option | \$ 30.31 | 05/29/2008 | Х | 0 | 05/29/2009 | 05/28/2018 | Common | 876 |
|-------------------------------|----------|------------|---|---|------------|------------|--------|-----|
| Non-Qualified Stock Option | \$ 30.31 | 05/29/2008 | Х | 0 | 05/29/2010 | 05/28/2018 | Common | 876 |
| Non-Qualified Stock Option | \$ 30.31 | 05/29/2008 | Х | 0 | 05/29/2011 | 05/28/2018 | Common | 876 |
| Non-Qualified Stock Option | \$ 30.31 | 05/29/2008 | Х | 0 | 05/29/2012 | 05/28/2018 | Common | 876 |
| Non-Qualified Stock Option | \$ 30.31 | 05/29/2008 | Х | 0 | 05/29/2013 | 05/28/2018 | Common | 876 |

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other FEHLMAN ROBERT A SIMMONS FIRST NATIONAL CORP SEVP, CFO & Treasurer **501 MAIN STREET** PINE BLUFF, AR 71611 Signatures /s/ Robert A. Fehlman by Piper P. Erwin 01/29/2014 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares will vest evenly over the next five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.