

MMA CAPITAL MANAGEMENT, LLC
Form 8-K
May 24, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): May 22, 2018

MMA Capital Management, LLC

(Exact name of registrant as specified in its charter)

| | | |
|---|-----------------------------|---|
| Delaware | 001-11981 | 52-1449733 |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (I.R.S. Employer Identification No.) |
| 3600 O'Donnell St, Suite 600, Baltimore, Maryland | | 21224 |
| (Address of principal executive offices) | | (Zip Code) |

Registrant's telephone number, including area code: (443)
263-2900

Not Applicable

Edgar Filing: MMA CAPITAL MANAGEMENT, LLC - Form 8-K

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders

On May 22, 2018, the Company held its annual meeting of shareholders. At that meeting, the shareholders of the Company re-elected J. P. Grant III and Michael L. Falcone to the Board of Directors for a three-year term, approved a non-binding advisory resolution approving the compensation of the named executive officers of the Company and ratified the appointment of KPMG, LLP as independent registered public accountant for the calendar year ending 2018. As to these matters, the numbers of votes cast for or against, as well as the number of abstentions and broker non-votes, are as set forth below:

1. Election of directors nominated by MMA Capital Management, LLC to serve for a term to expire in 2021 and until their successors are duly elected and qualified:

| Nominee | Votes | Votes | Votes | Broker |
|--------------------|-----------|---------|-----------|-----------|
| | For | Against | Abstained | Non-Votes |
| J. P. Grant III | 2,343,556 | 162,083 | 56,966 | 2,338,484 |
| Michael L. Falcone | 2,359,385 | 199,089 | 4,131 | 2,338,484 |

2. The non-binding Advisory Vote on Executive Compensation:

| Votes | Votes | Votes | Broker |
|-----------|---------|-----------|-----------|
| For | Against | Abstained | Non-Votes |
| 2,306,753 | 97,824 | 158,028 | 2,338,484 |

3. Ratification of KPMG, LLP as independent registered public accounting firm for 2018:

| Votes | Votes | Votes | Broker |
|-----------|---------|-----------|-----------|
| For | Against | Abstained | Non-Votes |
| 4,305,546 | 589,920 | 5,623 | 0 |

SIGNATURES

Edgar Filing: MMA CAPITAL MANAGEMENT, LLC - Form 8-K

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MMA Capital Management, LLC

May 23, 2018 By: /s/ Michael L. Falcone

Name: Michael L. Falcone
Title: Chief Executive Officer and President