

OBUS NELSON  
Form 3/A  
May 17, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

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(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

WYNNEFIELD PARTNERS  
SMALL CAP VALUE LP

(Last) (First) (Middle)

450 SEVENTH  
AVENUE, SUITE 509

(Street)

NEW YORK, NY 10123

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)  
05/15/2012

3. Issuer Name and Ticker or Trading Symbol  
Summer Infant, Inc. [SUMR]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)

5. If Amendment, Date Original  
Filed(Month/Day/Year)  
05/17/2012

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting  
Person  
\_X\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, \$0.0001 par value per share 648,588

D (1) (5) A

Common Stock, \$0.0001 par value per share 957,337

I See footnote (2)

Common Stock, \$0.0001 par value per share 509,075

I See footnote (3)

Common Stock, \$0.0001 par value per share 85,000

I See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|-----------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
|                                               | Date<br>Exercisable                                            | Expiration<br>Date                                                                   | Title                                                              | Amount or<br>Number of<br>Shares                                                                     |                                                             |

## Reporting Owners

| Reporting Owner Name / Address                                                                        | Relationships |           |         |       |
|-------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                       | Director      | 10% Owner | Officer | Other |
| WYNNEFIELD PARTNERS SMALL CAP VALUE LP<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123       | ^             | ^ X       | ^       | ^     |
| WYNNEFIELD PARTNERS SMALL CAP VALUE LP I<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123     | ^             | ^ X       | ^       | ^     |
| WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD<br>450 SEVENTH AVE<br>STE 509<br>NEW YORK, NY 10123      | ^             | ^ X       | ^       | ^     |
| WYNNEFIELD CAPITAL MANAGEMENT LLC<br>450 SEVENTH AVE<br>STE 509<br>NEW YORK, NY 10123                 | ^             | ^ X       | ^       | ^     |
| WYNNEFIELD CAPITAL INC<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123                       | ^             | ^ X       | ^       | ^     |
| Wynnefield Capital, Inc. Profit Sharing Plan<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123 | ^             | ^ X       | ^       | ^     |
| OBUS NELSON<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123                                  | ^             | ^ X       | ^       | ^     |
| LANDES JOSHUA<br>450 SEVENTH AVENUE<br>SUITE 509<br>NEW YORK, NY 10123                                | ^             | ^ X       | ^       | ^     |

## Signatures

|                                                                                                                                       |            |
|---------------------------------------------------------------------------------------------------------------------------------------|------------|
| WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P., By:Wynnefield Capital Management, LLC, General Partner, /s/ Nelson Obus, Managing Member   | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. I, By:Wynnefield Capital Management, LLC, General Partner, /s/ Nelson Obus, Managing Member | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND, LTD., By:Wynnefield Capital, Inc., /s/ Nelson Obus, President                               | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| WYNNEFIELD CAPITAL MANAGEMENT, LLC,/s/ Nelson Obus, Managing Member                                                                   | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| WYNNEFIELD CAPITAL, INC.,/s/ Nelson Obus, President                                                                                   | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| WYNNEFIELD CAPITAL, INC., PROFIT SHARING PLAN /s/ Nelson Obus                                                                         | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| /s/ Nelson Obus                                                                                                                       | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |
| /s/ Joshua Landes                                                                                                                     | 05/17/2012 |
| ____Signature of Reporting Person                                                                                                     | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On the date hereof, Wynnefield Partners Small Cap Value, L.P. (the "Reporting Person") directly beneficially owns 648,588 shares of common stock, par value \$0.0001 per share ("Common Stock") of Summer Infant, Inc. (SUMR). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an indirect beneficial ownership interest in the shares of Common Stock that the reporting Person directly beneficially owns. Wynnefield Capital Management, LLC, which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Nelson Obus and Joshua Landes as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Mr. Obus and Mr. Landes, who maintain offices at the same address as the Reporting Person, are filing this Form jointly with the Reporting person (see footnote 5).
- (2) On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 957,337 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as members of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Wynnefield Capital Management, LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value, L.P. I directly beneficially owns. Mr. Obus and Mr. Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value, L.P. I directly beneficially owns.
- (3) On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 509,075 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as members of a group under Section 13(d) of the Exchange Act. Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Wynnefield Capital, Inc., as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Wynnefield Capital, Inc., which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus and Mr. Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap

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Value Offshore Fund, Ltd. directly beneficially owns.

- (4) On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 85,000 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as members of a group under Section 13(d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus has the power to vote and dispose of Wynnefield Capital, Inc. Profit Sharing Plan's investments in securities and has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

- (5) Mr. Obus and Mr. Landes disclaim beneficial ownership of the securities described in this statement, except to the extent of their individual pecuniary interest in such securities. The filing of this statement shall not be deemed an admission that Mr. Obus and Mr. Landes are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of any securities specified in this statement.

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### Remarks:

EachÂ ofÂ theÂ ReportingÂ OwnersÂ identifiedÂ inÂ thisÂ statementÂ disclaimsÂ beneficialÂ ownershipÂ ofÂ theÂ secur

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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