Edgar Filing: Shaw Timothy E - Form 4

Shaw Timothy E												
Form 4	00											
September 14, 200	19										PPROVA	a
FORM 4	UNITED	STATES					NGE	COMMISS	ION	OMB OMB Number:	3235-	
Washington, D.C. 20549Washington, D.C. 20549Check this box if no longer subject to Section 16.StateMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESSection 16.Form 4 or Form 5 obligations 							934,	Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5		ry 31, 2005 0.5		
(Print or Type Respon	ses)											
Shaw Timothy E Sy			2. Issuer Name and Ticker or Trading Symbol SMF ENERGY CORP [FUEL]				5. Relationship of Reporting Person(s) to Issuer					
			3. Date of Earliest Transaction(Month/Day/Year)09/10/2009			(Check all applicable) <u></u> Director <u></u> 10% Owner <u>X_</u> Officer (give title <u></u> Other (specify below) See Remarks						
(5				d(Month/Day/Year) Applicable Line)			ine)	oint/Group Filing(Check One Reporting Person				
FT. LAUDERDA	LE, FL 333	309								ore than One R		
(City) (S	State)	(Zip)	Tab	ole I - Non-l	Derivative	Secur	ities A	cquired, Dispo	sed of,	or Beneficia	lly Owne	d
	nsaction Date h/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D) and f (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Fo (D (I) (Ir	Ownership rm: Direct) or Indirect astr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip
Reminder: Report on	a separate line	e for each cla	ass of sec	urities bene	•		•	r indirectly. pond to the c	ollecti	on of	SEC 1474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securiti
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: Shaw Timothy E - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of(D)(Instr. 3, 4, and 5)					
	Ţ			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A	20,000		<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 2.98	09/10/2009		D		20,000	<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		А	20,000		(1)	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 2.98	09/10/2009		D		20,000	<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A	10,000		(3)	10/11/2016	Common Stock	10,0
Option to Purchase Common Stock	\$ 1.7	09/10/2009		D		10,000	(3)	10/11/2016	Common Stock	10,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		А	25,000		(5)	12/08/2016	Common Stock	25,0
Option to Purchase Common Stock	\$ 1.55	09/10/2009		D		25,000	(5)	12/08/2016	Common Stock	25,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		А	10,000		(7)	10/08/2017	Common Stock	10,0
Option to Purchase Common	\$ 1.28	09/10/2009		D		10,000	(7)	10/08/2017	Common Stock	10,0

Other

Stock

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting officer (and) readers	Director	10% Owner	Officer			
Shaw Timothy E 200 W CYPRESS CREEK RD SUITE 400 FT. LAUDERDALE, FL 33309			See Remarks			
Signatures						
/s/ Timothy E						

/s/ Timothy E. Shaw <u>**Signature of</u> Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option is fully vested.
- (2) The reporting person agreed to cancellation of an option granted to him on April 6, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- (3) The option vests as follows: 20% vested on October 11, 2007, 40% vested on October 11, 2008 and 40% will vest on October 11, 2009.
- (4) The reporting person agreed to cancellation of an option granted to him on October 11, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- (5) The option vests as follows: 20% vested on December 8, 2007, 40% vested on December 8, 2007 and 40% will vest on December 8, 2009.
- (6) The reporting person agreed to cancellation of an option granted to him on December 8, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- (7) The option vests as follows: 20% vested on October 8, 2008, 40% will vest on October 8, 2009 and 40% will vest on October 8, 2010.
- (8) The reporting person agreed to cancellation of an option granted to him on October 8, 2007, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.

Remarks:

Sr. VP, Information Services & Administration and CIO

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.