Edgar Filing: SLEDD ROBERT C - Form 5

| SLEDD ROB | ERT C | | | | | | | | | | |
|---|---|--|---|------------------------------------|---|---|---|--|-----------|--|--|
| Form 5 | | | | | | | | | | | |
| April 23, 2013 | 3 | | | | | | | | | | |
| FORM | 5 | | | | | | | OMB AF | PPROVAL | | |
| - | UNITED S | | SECURITIES AND EXCHANGE C | | | | OMMISSION | OMB Number: | 3235-0362 | | |
| Check this b no longer su | VV as | Washington, D.C. 20549 ATEMENT OF CHANGES IN BENEFI OWNERSHIP OF SECURITIES | | | | | Expires: | January 31, 2005 | | | |
| to Section 1 Form 4 or F 5 obligation may continu <i>See</i> Instruct | | | | | | FICIAL | Estimated a burden hou response | rs per | | | |
| 1(b). | Filed purs dings Section 17(a | uant to Section 10) of the Public Ut 30(h) of the In | ility Holding | g Compa | ny Ao | et of 1 | 935 or Section | 1 | | | |
| 1. Name and Ad SLEDD ROE | Symbol | 2. Issuer Name and Ticker or Trading Symbol UNIVERSAL CORP /VA/ [UVV] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (M | iddle) 3. Stateme | 3. Statement for Issuer's Fiscal Year Ended | | | | (Check all applicable) | | | | |
| 9201 FORES | 03/31/20 | (Month/Day/Year) 03/31/2013 | | | _ | _X Director Officer (give below) | | Owner er (specify | | | |
| 9201 FORES | | | | | | | | | | | |
| | | 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) | | | | | | - | | | |
| | | | | | | | (| | | | |
| RICHMOND | 9, VA 23235 | | | | | _ | X_ Form Filed by (Form Filed by M Person | | | | |
| (City) | (State) (2 | Zip) Tabl | e I - Non-Deriv | vative Secu | ırities | Acqui | red, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code | Acquired Disposed (Instr. 3, | Disposed of (D) (Instr. 3, 4 and 5) (A) or | | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Restricted stock and restricted | Â | Â | Â | Amount Â | Â | Â | 7,391 | D (1) | Â | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

stock units

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

Edgar Filing: SLEDD ROBERT C - Form 5

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E I S Fi (I |
|---|---|---|---|---|---------------------|--------------------|-------|--|---|--|
| | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| F 8 | Director | 10% Owner | Officer | Other | | | |
| SLEDD ROBERT C 9201 FOREST HILL AVENUE RICHMOND, VA 23235 | ÂX | Â | Â | Â | | | |
| Signatures | | | | | | | |
| Robert C. Sledd, by Terri L. Marks, Power of Attorney 04/ | | | | | | | |
| <u>**</u> Signature of Reporting Pe | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

1,950 restricted stock shares were granted for service as a director. Transfer of shares is prohibited and the shares may be forfeited to the

(1) Company unless certain specified conditions are met. Also includes 5,100 restricted stock units and 341 dividend equivalent units earned on the restricted stock units. The restricted stock units vest on the third anniversary of the award date, however, payment will be delayed until certain restrictions are met.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.