#### 21ST CENTURY HOLDING CO

Form 4 June 02, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

BLVD., SUITE 300

1. Name and Address of Reporting Person \* LAWSON EDWARD J

3661 WEST OAKLAND PARK

2. Issuer Name and Ticker or Trading Symbol

21ST CENTURY HOLDING CO

[TCHC]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/29/2008

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_ Director 10% Owner X\_ Officer (give title Other (specify below)

CEO & Chairman

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LAUDERDALE LAKES, FL 3331		l
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(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	05/29/2008		S	1,887	D	\$ 10.54	424,129	D	
Common Stock	05/29/2008		S	3,739	D	\$ 10.55	420,390	D	
Common Stock	05/29/2008		S	1,469	D	\$ 10.56	418,921	D	
Common Stock	05/29/2008		S	217	D	\$ 10.57	418,704	D	
Common Stock	05/29/2008		S	1,638	D	\$ 10.6	417,066	D	

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Common Stock	05/29/2008	S	100	D	\$ 10.65	416,966	D
Common Stock	05/29/2008	S	200	D	\$ 10.66	416,766	D
Common Stock	05/29/2008	S	100	D	\$ 10.68	416,666	D
Common Stock	05/30/2008	S	11,500	D	\$ 10.25	405,166	D
Common Stock	05/30/2008	S	1,100	D	\$ 10.27	404,066	D
Common Stock	05/30/2008	S	100	D	\$ 10.28	403,966	D
Common Stock	05/30/2008	S	800	D	\$ 10.33	403,166	D
Common Stock	05/30/2008	S	500	D	\$ 10.34	402,666	D
Common Stock	05/30/2008	S	11,495	D	\$ 10.35	391,171	D
Common Stock	05/30/2008	S	500	D	\$ 10.36	390,671	D
Common Stock	05/30/2008	S	900	D	\$ 10.37	389,771	D
Common Stock	05/30/2008	S	402	D	\$ 10.38	389,369	D
Common Stock	05/30/2008	S	100	D	\$ 10.39	389,269	D
Common Stock	05/30/2008	S	1,900	D	\$ 10.4	387,369	D
Common Stock	05/30/2008	S	535	D	\$ 10.41	386,834	D
Common Stock	05/30/2008	S	600	D	\$ 10.42	386,234	D
Common Stock	05/30/2008	S	600	D	\$ 10.43	385,634	D
Common Stock	05/30/2008	S	795	D	\$ 10.44	384,839	D
Common Stock	05/30/2008	S	13	D	\$ 10.5	384,826	D
Common Stock	05/30/2008	S	847	D	\$ 10.53	383,979	D
						233,465	I

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Common			Held by
Stock			spouse,
			Michele V
			Lawson
Common Stock	10,000	I	Held by daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 15.79					12/05/2006(1)	12/05/2011	Common Stock	100,000
Options	\$ 27.79					12/15/2007(2)	12/15/2011	Common Stock	25,000
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500
Options	\$ 13.56					01/30/2009(3)	01/30/2013	Common Stock	4,500
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Reporting Owners 3

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LAWSON EDWARD J
3661 WEST OAKLAND PARK BLVD., SUITE 300 X CEO & Chairman
LAUDERDALE LAKES, FL 33311

### **Signatures**

Edward J. 06/02/2008 Lawson

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 20% per year.
- (2) Options are fully vested.
- (3) Options vest 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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