

Miller Marc D
Form 4
May 01, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Miller Marc D

(Last) (First) (Middle)

UNIVERSAL HEALTH
SERVICES, INC., 367 SOUTH
GULPH ROAD

(Street)

KING OF PRUSSIA, PA 19406

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

UNIVERSAL HEALTH SERVICES
INC [UHS]

3. Date of Earliest Transaction
(Month/Day/Year)
04/30/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class B Common Stock	04/30/2019		J ⁽¹⁾		30,956	D	\$ 0 20,977
						I	AMK 2014 LLC held by the Abby Miller King 2018 GRAT
Class B Common Stock	04/30/2019		J ⁽¹⁾		30,956	A	\$ 0 62,275
						I	AMK 2014 LLC as held by

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Class B Common Stock	04/30/2019	<u>J(1)</u>	30,956	D	\$ 0	20,977	I	Alan B. Miller MS 2014 LLC as held by The Marni Spencer 2018 GRAT
Class B Common Stock	04/30/2019	<u>J(1)</u>	30,956	A	\$ 0	62,275	I	MS 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(1)</u>	56,437	D	\$ 0	74,660	I	MDM 2014 LLC as held by The Marc Daniel Miller 2018 GRAT
Class B Common Stock	04/30/2019	<u>J(1)</u>	56,437	A	\$ 0	101,333	I	MDM 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(2)</u>	62,275	D	\$ 0	0	I	AMK 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(2)</u>	62,275	A	\$ 0	62,275	I	AMK 2014 LLC as held by The Abby Miller King 2019 GRAT
Class B Common Stock	04/30/2019	<u>J(3)</u>	62,275	D	\$ 0	0	I	MS 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(3)</u>	62,275	A	\$ 0	62,275	I	MS 2014 LLC as held by

Class B Common Stock	04/30/2019	<u>J(4)</u>	101,333	D	\$ 0	0	I	The Marni Spencer 2019 GRAT MDM 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(4)</u>	101,333	A	\$ 0	101,333	I	MDM 2014 LLC as held by The Marc Daniel Miller 2019 GRAT
Class B Common Stock						135,237	D	
Class B Common Stock						16,748	I	AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock						24,007	I	MDM 2014 LLC held by The Marc Daniel Miller 2002 Trust
Class B Common Stock						16,748	I	MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock						22,815	I	The Abby Danielle Miller 2002 Trust
Class B Common Stock						55,763	I	The Abby Miller King 2011

Class B Common Stock	44,110	I	Family Trust The Marc Daniel Miller 2002 Trust
Class B Common Stock	59,900	I	The Marc Daniel Miller 2011 Family Trust
Class B Common Stock	43,247	I	The Marni Spencer 2002 Trust
Class B Common Stock	55,763	I	The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Class A Common Stock	<u>(5)</u>	04/30/2019		<u>J</u> ⁽¹⁾		30,956	<u>(6)</u>	<u>(7)</u>		Class B Common Stock	30,956

Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(1)</u>	30,956	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	30,956
Class A Common Stock	<u>(5)</u>	04/30/2019	J <u>(1)</u>	30,956	<u>(6)</u>	<u>(7)</u>	Class B Common Stock	30,956
Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(1)</u>	30,956	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	30,956
Class A Common Stock	<u>(5)</u>	04/30/2019	J <u>(1)</u>	42,328	<u>(6)</u>	<u>(7)</u>	Class B Common Stock	42,328
Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(1)</u>	42,328	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	42,328
Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(2)</u>	62,275	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	62,275
Class A Common Stock	<u>(11)</u>	04/30/2019	J <u>(2)</u>	62,275	<u>(12)</u>	<u>(13)</u>	Class B Common Stock	62,275

Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(3)</u>	62,275	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	62,275
Class A Common Stock	<u>(11)</u>	04/30/2019	J <u>(3)</u>	62,275	<u>(12)</u>	<u>(13)</u>	Class B Common Stock	62,275
Class A Common Stock	<u>(8)</u>	04/30/2019	J <u>(4)</u>	75,999	<u>(9)</u>	<u>(10)</u>	Class B Common Stock	75,999
Class A Common Stock	<u>(11)</u>	04/30/2019	J <u>(4)</u>	75,999	<u>(12)</u>	<u>(13)</u>	Class B Common Stock	75,999
Class A Common Stock	<u>(8)</u>				<u>(6)</u>	<u>(7)</u>	Class B Common Stock	532,194
Class A Common Stock	<u>(8)</u>				<u>(6)</u>	<u>(7)</u>	Class B Common Stock	16,748
Class A Common Stock	<u>(5)</u>				<u>(6)</u>	<u>(7)</u>	Class B Common Stock	118,900

Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	118,900
Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	118,900
Class A Common Stock	<u>(8)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	18,005
Class A Common Stock	<u>(8)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	16,748
Class A Common Stock	<u>(8)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	160,563
Class A Common Stock	<u>(8)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	200,693
Class A Common Stock	<u>(8)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	160,563

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Miller Marc D UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD KING OF PRUSSIA, PA 19406	X		President	

Signatures

/s/ Steve Filton, Attorney in Fact for Marc D.
Miller

05/01/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 30, 2019, The Marc Daniel Miller 2018 GRAT distributed 28.21838% of the MDM 2014 LLC to the MDM 2014 LLC as held by Alan B. Miller, The Abby Miller King 2018 GRAT distributed 30.95556% of the AMK 2014 LLC to the AMK 2014 LLC as held by Alan B. Miller, and The Marni Spencer 2018 GRAT distributed 30.95556% of the MS 2014 LLC to the MS 2014 LLC as held by Alan B. Miller. Mr. Miller's pecuniary interest in these shares is unchanged. All distributions hereinbefore described were made pursuant to the terms of the Trust Agreement creating each 2018 GRAT.
 - (2) On April 30, 2019, Alan B. Miller contributed 62.27585% of AMK 2014 LLC to The Abby Miller King 2019 GRAT. These shares were held by the AMK 2014 LLC as held by Alan B. Miller.
 - (3) On April 30, 2019, Alan B. Miller contributed 62.27585% of MS 2014 LLC to The Marni Spencer 2019 GRAT. These shares were held by the MS 2014 LLC as held by Alan B. Miller.
 - (4) On April 30, 2019, Alan B. Miller contributed 50.66610% of the MDM 2014 LLC to The Marc Daniel Miller 2019 GRAT. These shares were held by the MDM 2014 LLC as held by Alan B. Miller.
 - (5) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.
 - (6) Immediately.
 - (7) Not Applicable.
 - (8) These shares are convertible to Class B Common Stock on a share for share basis.
 - (9) Immediately
 - (10) Not Applicable
 - (11) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.
 - (12) Immediately.
 - (13) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.