

Carroll Edward A  
Form 4  
March 06, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Carroll Edward A

(Last) (First) (Middle)

11 PENN PLAZA

(Street)

NEW YORK, NY 10001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AMC Networks Inc. [AMCX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/04/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)        | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| AMC Networks Inc. Class A Common Stock | 03/04/2019                           |  | A                              | 25,220  | A \$ 0 <sup>(1)</sup>   | 68,088   | D   |
| AMC Networks Inc. Class A Common Stock | 03/04/2019                           |  | F <sup>(2)</sup>               | 9,945   | D \$ 62.38  | 58,143   | D   |
| AMC Networks                           | 03/04/2019                           |  | A                              | 1,485   | A \$ 0 <sup>(3)</sup>   | 59,628   | D   |

Edgar Filing: Carroll Edward A - Form 4

|  |            |                  |       |   |                     |        |   |           |
|--|------------|------------------|-------|---|---------------------|--------|---|-----------|
| Inc. Class<br>A Common<br>Stock                    |            |                  |       |   |                     |        |   |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock | 03/04/2019 | F <sup>(4)</sup> | 727   | D | \$<br>62.38         | 58,901 | D |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock | 03/04/2019 | M                | 8,250 | A | \$ 0 <sup>(5)</sup> | 67,151 | D |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock | 03/04/2019 | F <sup>(6)</sup> | 4,040 | D | \$<br>62.38         | 63,111 | D |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock | 03/04/2019 | M                | 486   | A | \$ 0 <sup>(7)</sup> | 63,597 | D |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock | 03/04/2019 | F <sup>(8)</sup> | 237   | D | \$<br>62.38         | 63,360 | D |           |
| AMC<br>Networks<br>Inc. Class<br>A Common<br>Stock |            |                  |       |   |                     | 1,337  | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. (Instr. 3 and 4) |
|--|---|--------------------------------------|--|--------------------------------|--|--|---|---------------------|
|--|---|--------------------------------------|--|--------------------------------|--|--|---|---------------------|

## Edgar Filing: Carroll Edward A - Form 4

| Security                     |     | Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | Code | V | (A) | (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
|------------------------------|-----|---|------|---|-----|-------|---------------------|--------------------|---|--|
|                              |     |   |      |   |     |       |                     |                    |   |  |
| Restricted<br>Stock<br>Units | (5) | 03/04/2019                                    | M    |   |     | 8,250 | (5)                 | 03/04/2019         | AMC<br>Networks<br>Inc. Class<br>A<br>Common<br>Stock | 8,250                                  |
| Restricted<br>Stock<br>Units | (7) | 03/04/2019                                    | M    |   |     | 486   | (7)                 | 03/04/2019         | AMC<br>Networks<br>Inc. Class<br>A<br>Common<br>Stock | 486                                    |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Carroll Edward A<br>11 PENN PLAZA<br>NEW YORK, NY 10001 |               |           | Chief Operating Officer |       |

## Signatures

/s/ Anne G. Kelly, Attorney-in-fact for Edward A.  
Carroll

03/06/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each share of Class A common stock was issued on March 4, 2019 upon the vesting of performance restricted stock units ("PRSUs"). The PRSUs were initially granted on March 4, 2016 under the AMC Networks Inc. 2011 Amended and Restated Employee Stock Plan, and the number of shares of Class A common stock issued on March 4, 2019 was determined based on the achievement of performance criteria established at the time of grant.

(2) Represents PRSUs of AMC Networks Inc. withheld to satisfy tax withholding obligations in connection with the vesting of PRSUs described in footnote 1 above, exempt under Rule 16b-3.

(3) Each share of Class A common stock was issued on March 4, 2019 upon the vesting of performance restricted stock units ("PRSUs"). The PRSUs were initially granted on October 13, 2016 under the AMC Networks Inc. 2011 Amended and Restated Employee Stock Plan, and the number of shares of Class A common stock issued on March 4, 2019 was determined based on the achievement of performance criteria established at the time of grant.

## Edgar Filing: Carroll Edward A - Form 4

- (4) Represents PRSUs of AMC Networks Inc. withheld to satisfy tax withholding obligations in connection with the vesting of PRSUs described in footnote 3 above, exempt under Rule 16b-3.

Each restricted stock unit ("RSU") was granted under the AMC Networks Inc. 2011 Amended and Restated Employee Stock Plan and

- (5) represents a right to receive one share of Class A Common Stock or the cash equivalent thereof. One-third of the RSUs vested and were settled on March 5, 2018 and one-third of the RSUs vested and were settled on March 6, 2017. The remaining one-third of the "RSUs" vested and were settled on March 4, 2019.

- (6) Represents RSUs of AMC Networks Inc. withheld to satisfy tax withholding obligations in connection with the vesting of RSUs described in footnote 5 above, exempt under Rule 16b-3.

Each restricted stock unit ("RSU") was granted under the AMC Networks Inc. 2011 Amended and Restated Employee Stock Plan and represents a right to receive one share of Class A Common Stock or the cash equivalent thereof. One-third of the RSUs vested and were

- (7) settled on March 5, 2018 and one-third of the RSUs vested and were settled on March 6, 2017. The remaining one-third of the "RSUs" vested and were settled on March 4, 2019.

- (8) Represents RSUs of AMC Networks Inc. withheld to satisfy tax withholding obligations in connection with the vesting of RSUs described in footnote 7 above, exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.