

Andrews Brian G
Form 4
January 09, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Andrews Brian G

(Last) (First) (Middle)

6140 STONERIDGE MALL ROAD,
SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction
(Month/Day/Year)

01/08/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
____X____ Officer (give title _____ Other (specify
below) below)

SVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	01/08/2019		M	166 A \$ 0	1,814	D	
Common Stock	01/08/2019		F	87 ⁽¹⁾ D \$ 0	1,727	D	
Common Stock	01/08/2019		M	154 A \$ 0	1,881	D	
Common Stock	01/08/2019		F	82 ⁽¹⁾ D \$ 0	1,799	D	
Common Stock	01/08/2019		M	190 A \$ 0	1,989	D	

Edgar Filing: Andrews Brian G - Form 4

Common Stock	01/08/2019	F	101 <u>(1)</u>	D	\$ 0	1,888	D	
Common Stock	01/08/2019	M	143	A	\$ 0	2,031	D	
Common Stock	01/08/2019	F	76 <u>(1)</u>	D	\$ 0	1,955	D	
Common Stock	01/08/2019	M	109	A	\$ 0	2,064	D	
Common Stock	01/08/2019	F	58 <u>(1)</u>	D	\$ 0	2,006	D	
Common Stock						283.25	I	Roth IRA
Common Stock						220.976	I	Traditional IRA
Common Stock						796.347	I	Rollover IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price or Derivative Security (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(2)</u>	01/08/2019		M	166	<u>(3)</u>	<u>(4)</u>	Common Stock	166	\$ 0
Restricted Stock Units	\$ 0 <u>(2)</u>	01/08/2019		M	154	<u>(5)</u>	<u>(4)</u>	Common Stock	154	\$ 0

Restricted Stock Units	\$ 0 ⁽²⁾	01/08/2019	M	190	⁽⁶⁾	⁽⁴⁾	Common Stock	190	\$ 0
Restricted Stock Units	\$ 0 ⁽²⁾	01/08/2019	M	143	⁽⁷⁾	⁽⁴⁾	Common Stock	143	\$ 0
Restricted Stock Units	\$ 0 ⁽²⁾	01/08/2019	M	109	⁽⁸⁾	⁽⁴⁾	Common Stock	109	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Andrews Brian G 6140 STONERIDGE MALL ROAD, SUITE 590 PLEASANTON, CA 94588			SVP, CFO & Treasurer	

Signatures

/s/ Brian G.
Andrews

01/09/2019

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/8/2019 RSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/8/2019) of \$253.83 was used.
- (2) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (3) Grant Date 12/11/13 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/15, 1/8/16, 1/8/17, 1/8/18 and 1/8/19.
- (4) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (5) 12/9/14 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/16, 1/8/17, 1/8/18, 1/8/19 and 1/8/20.
- (6) 12/9/15 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.
- (7) 12/13/16 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/18, 1/8/19, 1/8/20, 1/8/21 and 1/8/22.
- (8) 12/12/17 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/19, 1/8/20, 1/8/21, 1/8/22 and 1/8/23.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.