

RANKIN CORBIN
Form 4
November 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CORBIN

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/01/2018		P	132 ⁽¹⁾ A	\$ 61.746 149,339	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin ⁽²⁾
Class A Common Stock	11/01/2018		P	1 ⁽¹⁾ A	\$ 61.67 ⁽³⁾ 57	I	Spouse's proportionate Interest in shares held by RAV held by Rankin

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Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ <u>61.67</u> (3)	225	I	Management Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ <u>62.18</u> (4)	226	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/01/2018	P	7 <u>(1)</u>	A	\$ <u>60.03</u> (5)	13,317	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/01/2018	P	7 <u>(1)</u>	A	\$ <u>60.03</u> (5)	13,317	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/01/2018	P	7 <u>(1)</u>	A	\$ <u>60.03</u> (5)	13,317	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ <u>60.03</u> (5)	188	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ <u>60.03</u> (5)	188	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ <u>61.67</u> (3)	64	I	Spouse's Proportionate Interest in shares held by RAVI held by

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Class A Common Stock	11/01/2018	P	68 ⁽¹⁾	A	\$ 61.67 ₍₃₎	13,385	I	Rankin Management Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/01/2018	P	68 ⁽¹⁾	A	\$ 61.67 ₍₃₎	13,385	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/01/2018	P	68 ⁽¹⁾	A	\$ 61.67 ₍₃₎	13,385	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/01/2018	P	1 ⁽¹⁾	A	\$ 61.67 ₍₃₎	189	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 ⁽¹⁾	A	\$ 61.67 ₍₃₎	189	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	42 ⁽¹⁾	A	\$ 62.18 ₍₄₎	13,427	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/01/2018	P	42 ⁽¹⁾	A	\$ 62.18 ₍₄₎	13,427	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common	11/01/2018	P	42 ⁽¹⁾	A	\$ 62.18 ₍₄₎	13,427	I	Spouse serves as trustee for

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Stock							GSTs for the benefit of Thomas Parker Rankin	
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18 <u>(4)</u>	190	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18 <u>(4)</u>	190	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						3,622	D	
Class A Common Stock						14,343	I	Reporting Person's LP interest in shares held in RA1
Class A Common Stock						1,843	I	Reporting person's proportionate interests in shares held by Rankin Associates II, L.P
Class A Common Stock						7	I	Held by Spouse
Class A Common Stock						19	I	spouse's proportionate general partnership interest in shares of RA IV LP held by the Trust <u>(6)</u>
Class A Common Stock						25,324	I	spouse's proportionate partnership

Class A Common Stock	35,872	I	interest in shares held by RA I LP ⁽⁶⁾ spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P ⁽⁶⁾
Class A Common Stock	65,824	I	Spouses proportionate LP interest in shares held in RA4 ⁽⁶⁾
Class A Common Stock	1,975	I	Spouse's proportionate interest in shares held in RMI ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(7)					(7)	(7)			Class A Common Stock	3,622
	(7)					(7)	(7)				25,657

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Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	1,843
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	7
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	31
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	Class A Common Stock	45,300

Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	35,872
Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	104,286
Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	145,912
Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	1,975

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CORBIN 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (4) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (5) 2018-Nov-1 -Block 1 Weighted Average- Share Price represents average price between \$60.00 and \$60.05.
- (6) Reporting Person disclaims beneficial ownership of all such shares.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.