RANKIN BRUCE T

Form 4

October 29, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common

Stock

1. Name and Address of Reporting Person *

RANKIN BRUCE T

(Last) (First)

(Middle)

5875 LANDERBROOK DRIVE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 10/25/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner __X_ Other (specify Officer (give title below)

below) Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

| MAYFIELD HEIGHTS, OH 44124 | | | | Person | | | | | | | | |
|----------------------------|--------------------------------------|--------------------------------------|---|---|---|--|--|--|--|--|--|--|
| | (City) | (State) | (Zip) Ta | ble I - Non-Derivative Securities Acqu | nired, Disposed of, or Beneficially Owned | | | | | | | |
| | 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price | 5. Amount of Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4) | | | | | | | |
| | Class A | | | ¢ | Held by Tri | | | | | | | |

| | | Code \ | V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
|----------------------------|------------|--------|---|--------|-----------|---------------|---------------------------------|------------|---|
| Class A Common Stock | 10/25/2018 | Р | | | ` / | \$ 60.5171 | 15,737 | I | Held by Trust for the benefit of Reporting Person. |
| Class A Common Stock | 10/25/2018 | P | : | 2 (1) | A | \$ 60.52 | 176 | I | Proportionate interest in shares held by Rankin Associates VI |
| Class A | 10/26/2018 | P | | 1,127 | A | \$ | 16,864 | I | Held by Trust |

(1)

59.7838

for the benefit

of Reporting

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| | | | | | | | | Person. |
|----------------------------|--|--------------------|----------------|---------------------------|---------------------------|---|------------------|---|
| Class A Common Stock | 10/26/2018 | P | 3 (1) | A | \$ 59.78 (3) | 179 | I | Proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | | | | | | 42,666 | I | proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust |
| Class A Common Stock | | | | | | 26,119 | I | proportionate limited partnership interests in shares held by RA II, L.P., held in a trust |
| Class A Common Stock | | | | | | 46,182 | I | proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust |
| Reminder: R | eport on a separate line for each clas | s of securities be | Per infe | rsons ormati quired | who responding to respond | ndirectly. ond to the coned in this following the conditions the | orm are not form | SEC 1474 (9-02) |
| | Table II - Deriva | tive Securities A | nu cquired, | mber. Dispos | sed of, or Be | neficially Ow | | |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Code | 5. orNumber of Derivative Securities Acquired (A) or Disposed | (Month/Day/Year) ee s | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|---|---|--------------------------------------|------|---|-----------------------------|---|--|
| | | | | of (D) | | | |

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(Instr. 3, 4, and 5)

| | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|----------------------------|------------|------|---|-----|-----|---------------------|--------------------|----------------------------|-------------------------------------|
| Class B Common Stock | <u>(4)</u> | | | () | | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 747 |
| Class B Common Stock | (4) | | | | | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 76,330 |
| Class B Common Stock | <u>(4)</u> | | | | | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 26,119 |
| Class B Common Stock | <u>(4)</u> | | | | | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 73,166 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN BRUCE T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 3

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

10/26/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-25 Weighted Average- Share Price represents average price between \$59.86 and \$60.85.
- (3) 2018-Oct-26 Weighted Average- Share Price represents average price between \$59.31 and \$59.99.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4