

WILLIAMS CLARA R
Form 5/A
September 10, 2018

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
WILLIAMS CLARA R

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2017

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)
02/14/2018

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| Class A Common Stock | 12/14/2017 | Â | G | 3,972 A | \$ 0 5,126 | I | proportionate partnership interest shares held by AMR Associates LP |
| Class A Common Stock | Â | Â | Â | Â Â Â | 49,811 | I | Reporting Person's proportionate |

| | | | | | | | | | |
|----------------------|---|---|---|---|---|---|--------|---|--|
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 12,058 | I | interests in shares held by Rankin Associates I. Reporting Person's proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 32,369 | I | Reporting Person's proportionate interests in shares held by Rankin Associates IV. |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130 | I | Reporting person's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 80,066 | I | Held by trust for the benefit of Reporting Person |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 3,162 | I | By Spouse ⁽¹⁾ |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 6,899 | I | spouse's proportionate LP interest in shares held by RA II LP ⁽¹⁾ |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130 | I | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 279 | I | Child's proportionate partnership interest shares |

| | | | | | | | | | |
|----------------------------|---|---|---|---|---|---|-------|---|---|
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 9,740 | I | held by AMR Associates LP Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(1)</u> |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 130 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 2,983 | I | Reporting Person's spouse is trustee of a Trust fbo minor child. <u>(1)</u> |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 279 | I | Child's proportionate partnership interest shares held by AMR Associates LP |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 8,365 | I | Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(1)</u> |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 130 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | ^ | ^ | ^ | ^ | ^ | ^ | 4,357 | I | Reporting Person's spouse is trustee of a |

Trust fbo
minor child.
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|-----|--|-----------------|---|-------------------------------|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | |
| Class B Common Stock | Â | 12/14/2017 | Â | G | 4,628 | Â | Â (2) | Â (2) | Class A Common Stock | 4,628 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 89,105 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 12,058 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 51,283 |
| | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | | 58,586 |

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| | | | | | | | | | | |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|-------|
| Class B Common Stock | | | | | | | | | Class A Common Stock | |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 2,332 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 6,899 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 326 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 9,740 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 2,152 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 326 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A Common Stock | 8,365 |

