

Rine James D
Form 3
May 03, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Rine James D		(Month/Day/Year)	UMB FINANCIAL CORP [UMBF]	
(Last)	(First)	(Middle)	04/24/2018	
1010 GRAND BLVD.			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
KANSAS CITY, MO 64106			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Executive Vice President	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	12,890	D	
Common Stock	1,208,7541	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: Rine James D - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	01/01/2014	01/01/2021	Common Stock	2,094	\$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015	01/01/2022	Common Stock	3,646	\$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016	01/01/2023	Common Stock	3,198	\$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017 ⁽¹⁾	01/01/2024	Common Stock	2,573	\$ 57.4	D	Â
Stock Option (Right to Buy)	02/11/2018 ⁽²⁾	02/11/2025	Common Stock	2,579	\$ 51.42	D	Â
Stock Option (Right to Buy)	02/05/2018 ⁽³⁾	02/05/2026	Common Stock	2,921	\$ 47.68	D	Â
Stock Option (Right to Buy)	02/02/2019 ⁽⁴⁾	02/02/2027	Common Stock	2,446	\$ 75.25	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rine James D 1010 GRAND BLVD. KANSAS CITY, MO 64106	Â	Â	Â Executive Vice President	Â

Signatures

/s/ Megan L. Mercer, attorney-in-fact for Mr. Rine 05/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019.
- (2) Options vest 50% on 2/11/2018, 75% on 2/11/2019, and 75% on 2/11/2020.
- (3) Options vest 50% on 2/5/2018, 75% on 2/5/2019, and 100% on 2/5/2020.
- (4) Options will vest 50% on 2/2/19, 75% on 2/2/20, and 100% on 2/2/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.