

3M CO  
Form 3  
July 11, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Banovetz John Patrick		(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	07/01/2017	
3M CENTER			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
MAPLEWOOD,Â MNÂ 55144			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Sr Vice President	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,272.6722 <sup>(1)</sup>	D	Â
Common Stock	492	I	by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (2)	Common Stock	204	\$ (3)	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2011	02/07/2020	Common Stock	1,040	\$ 78.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/08/2012	02/08/2021	Common Stock	2,228	\$ 89.47	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2013	02/07/2022	Common Stock	3,236	\$ 87.89	D	Â
Non-qualified Stock Option (Right to Buy)	02/05/2014	02/03/2023	Common Stock	3,145	\$ 101.49	D	Â
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/02/2024	Common Stock	2,921	\$ 126.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	4,222 (4)	\$ 165.94	D	Â
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	6,828 (5)	\$ 147.87	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2018	02/06/2027	Common Stock	6,935 (6)	\$ 175.76	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Banovetz John Patrick 3M CENTER MAPLEWOOD, MN 55144	Â	Â	Â Sr Vice President	Â

## Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Mr. Banovetz 07/11/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.

(2) The Restricted Stock Units will vest on February 3, 2018.

(3) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.

(4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/3/2015).

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(5) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/2/2016).

(6) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/7/2017).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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