

FERRO CORP
Form 4
March 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bingle John

(Last) (First) (Middle)

6060 PARKLAND BOULEVARD

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FERRO CORP [FOE]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Treasurer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	02/28/2017		M	1,124 A	21,015	D	
Common Stock					10.8345	I	Investment Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: FERRO CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Performance Share Unit	\$ 0	02/28/2017		M	1,124	(1) 12/31/2016		Common Stock	
Performance Share Unit	\$ 0					(1) 12/31/2019		Common Stock	
Performance Share Unit	\$ 0					(1) 12/31/2017		Common Stock	
Performance Share Unit	\$ 0					(1) 12/31/2018		Common Stock	
Phantom Shares	(3)					(3) (3)		Common Stock	2,5
Restricted Share Unit	\$ 0					02/17/2019	02/17/2019	Common Stock	
Restricted Share Unit	\$ 0					02/15/2020	02/15/2020	Common Stock	
Restricted Share Unit	\$ 0					02/23/2016(4)	02/23/2016	Common Stock	
Restricted Share Unit	\$ 0					02/18/2018	02/18/2018	Common Stock	
Stock Options (Right to Buy)	\$ 17.26					02/28/2009	02/28/2018	Common Stock	
Stock Options (Right to Buy)	\$ 8.25					02/25/2011	02/25/2020	Common Stock	
Stock Options (Right to Buy)	\$ 1.37					02/25/2010	02/25/2019	Common Stock	
	\$ 15.16					02/24/2012	02/24/2021		

Stock Options (Right to Buy)					Common Stock
Stock Options (Right to Buy)	\$ 6.84	02/23/2013	02/23/2022		Common Stock
Stock Options (Right to Buy)	\$ 5.29	02/21/2014	02/21/2023		Common Stock
Stock Options (Right to Buy)	\$ 13.09	02/20/2015	02/20/2024		Common Stock
Stock Options (Right to Buy)	\$ 12.33	02/18/2016	02/18/2025		Common Stock
Stock Options (Right to Buy)	\$ 9.6	02/17/2017	02/17/2026		Common Stock
Stock Options (Right to Buy)	\$ 14.27	02/15/2018	02/15/2027		Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bingle John 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			Treasurer	

Signatures

/s/ John T. Bingle, Treasurer, by Power of Attorney

03/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of
- (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
 - (2) The remaining 1,176 Performance Share Units of the original grant were forfeited.
 - (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
 - (4) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.