

FIDELITY SOUTHERN CORP
Form 4
December 19, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PROCTOR H PALMER JR

2. Issuer Name and Ticker or Trading Symbol
FIDELITY SOUTHERN CORP
[LION]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3490 PIEDMONT ROAD, SUITE 1550

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/15/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive officer

ATLANTA, GA 30305

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount | (D) | Price |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | | G ⁽¹⁾ | V | 594 | D | \$ 23.53 |
| | | | | | 181,630.9481 | D | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | | G ⁽¹⁾ | V | 594 | A | \$ 23.53 |
| | | | | | 7,433.3998 | I | |
| | 12/15/2016 | | G ⁽¹⁾ | V | 594 | D | 181,036.9481 |
| | | | | | | D | |

By H E Proctor

Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

| | | | | | | | | | | | | |
|--|------------|------------------|---|-----|-------|----|--------------|---|--|--|--------------------------|--|
| Fidelity Southern Corporation - Common Stock | | | | | \$ | | | | | | | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | G ⁽¹⁾ | V | 594 | A | \$ | 7,433.3998 | I | | | By P3 Proctor | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | G ⁽¹⁾ | V | 594 | D | \$ | 180,442.9481 | D | | | | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | G ⁽¹⁾ | V | 594 | A | \$ | 7,433.3998 | I | | | By S B Proctor | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | G ⁽¹⁾ | V | 806 | D | \$ | 179,636.9481 | D | | | | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | 12/15/2016 | G ⁽¹⁾ | V | 806 | A | \$ | 20,800.4074 | I | | | By Spouse | |
| | | | | | 23.53 | | | | | | | |
| Fidelity Southern Corporation - Common Stock | | | | | | | 23,167.2295 | I | | | By 401(k) ⁽²⁾ | |
| | | | | | | | | | | | | |
| Fidelity Southern Corporation - Common Stock | | | | | | | 54,700 | I | | | By Custody Account | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| PROCTOR H PALMER JR 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305 | X | | Executive officer | |

Signatures

Brittany Caylor, Attorney in Fact for H. Palmer Proctor, Jr. 12/19/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are gifts to family members.
 - (2) Purchased 863 shares at various times and prices through the Fidelity Southern Corporation 401(k) Plan during 2003

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.