

AMPHENOL CORP /DE/
Form 4
July 26, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NORWITT RICHARD ADAM

(Last) (First) (Middle)

C/O AMPHENOL CORPORATION, 358 HALL AVENUE

(Street)

WALLINGFORD, CT 06492

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMPHENOL CORP /DE/ [APH]

3. Date of Earliest Transaction
(Month/Day/Year)
07/22/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 07/22/2016 | | M | | 137,000 | A | \$ 16.005 137,992 |
| Class A Common Stock | 07/22/2016 | | S | | 137,000 | D | \$ 59.0003 992 (1) (2) |
| Class A Common Stock | 07/22/2016 | | M | | 145,000 | A | \$ 16.005 145,992 |
| Class A Common Stock | 07/22/2016 | | S | | 125,000 | D | \$ 20,992 |

Edgar Filing: AMPHENOL CORP /DE/ - Form 4

| | | | | | | | | | |
|----------------------------|------------|--|---|--------|---|-----------------------|---------|--|--|
| Common Stock | | | | | | 59,0185 | | | |
| | | | | | | <u>(1)</u> <u>(2)</u> | | | |
| Class A Common Stock | 07/22/2016 | | M | 20,000 | D | \$ 16.005 | 992 | | D |
| | | | | | | | | | Norwitt Family Trust 9-20-2012, Richard Adma Norwitt and Glori Joan Norwitt, original Trustees |
| Class A Common Stock-Trust | 07/22/2016 | | M | 20,000 | A | \$ 16.005 | 124,741 | | I |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Am | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|---------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Nur Sha |
| Stock Option | \$ 16.005 | 07/22/2016 | | M | 137,000 | 05/21/2010 05/20/2019 | Class A Common Stock | 13 | |
| Stock Option-Trust | \$ 16.005 | 07/22/2016 | | M | 145,000 | 05/21/2010 05/20/2019 | Class A Common Stock | 14 | |

