

PRICE T ROWE GROUP INC
Form 4
September 11, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STRICKLAND WILLIAM W JR

2. Issuer Name and Ticker or Trading Symbol
PRICE T ROWE GROUP INC
[TROW]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
100 E. PRATT STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/10/2015

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President

BALTIMORE, MD 21202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/10/2015		A		470.967	A	\$ 79.902 (1)
Common Stock	09/10/2015		A		2,400	A	\$ 0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: PRICE T ROWE GROUP INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 70.92	09/10/2015		A	8,000	12/09/2016 ⁽²⁾	09/10/2020	Common Stock	8,000
Stock Options (Right to Buy)	\$ 31.3358					10/03/2006 ⁽³⁾	10/03/2015	Common Stock	1,040
Stock Options (Right to Buy)	\$ 48.0508					09/06/2008 ⁽⁵⁾	09/06/2017	Common Stock	4,161
Stock Options (Right to Buy)	\$ 54.8329					09/04/2009 ⁽⁶⁾	09/04/2018	Common Stock	10,408
Stock Options (Right to Buy)	\$ 26.3885					11/01/2010 ⁽⁷⁾	02/12/2019	Common Stock	7,493
Stock Options (Right to Buy)	\$ 43.3725					11/01/2010 ⁽⁸⁾	09/10/2019	Common Stock	9,367
Stock Options (Right to Buy)	\$ 47.6474					11/01/2011 ⁽⁹⁾	02/18/2020	Common Stock	7,806
Stock Options (Right to Buy)	\$ 45.7933					11/01/2011 ⁽¹⁰⁾	09/08/2020	Common Stock	7,805

Stock Options (Right to Buy)	\$ 67.5613	11/01/2012 ⁽¹¹⁾	02/17/2021	Common Stock	7,806
Stock Options (Right to Buy)	\$ 48.56	11/01/2012 ⁽¹²⁾	09/08/2021	Common Stock	7,807
Stock Options (Right to Buy)	\$ 59.0693	12/10/2013 ⁽¹³⁾	02/23/2022	Common Stock	3,901
Stock Options (Right to Buy)	\$ 60.7984	12/10/2013 ⁽¹⁴⁾	09/06/2022	Common Stock	3,901
Stock Options (Right to Buy)	\$ 69.6708	12/10/2014 ⁽¹⁵⁾	02/21/2023	Common Stock	4,099
Stock Options (Right to Buy)	\$ 70.2854	12/10/2014 ⁽¹⁷⁾	09/10/2023	Common Stock	4,098
Stock Options (Right to Buy)	\$ 77.9443	12/10/2015 ⁽¹⁸⁾	02/19/2024	Common Stock	5,123
Stock Options (Right to Buy)	\$ 78.4418	12/10/2015 ⁽¹⁹⁾	09/09/2024	Common Stock	8,199
Stock Options (Right to Buy)	\$ 80.9492	12/09/2016 ⁽²⁰⁾	02/19/2025	Common Stock	8,199

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STRICKLAND WILLIAM W JR 100 E. PRATT STREET BALTIMORE, MD 21202			Vice President	

Signatures

/s/William W.
Strickland, Jr.

09/11/2015

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired pursuant to the T. Rowe Price Group, Inc. Employee Stock Purchase Plan at the noted weighted-average price.

(2) 09/10/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

(3) 10/03/2005 Grant - The option vests 20% annually over a 5 year period beginning on 10/03/2006.

As required under the relevant plan and option agreements, the strike price and number of shares subject to outstanding stock options were adjusted by 1.56% on December 13, 2012, and by 2.5% on April 7, 2015, the ex-dividend dates, as a result of special dividends that were declared by T. Rowe Price Group, Inc.

(5) 09/06/2007 Grant - The option vests 20% annually over a 5 year period beginning on 09/06/2008.

(6) 09/04/2008 Grant - The option vests 20% annually over a 5 year period beginning on 09/04/2009.

(7) 02/12/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.

(8) 09/10/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.

(9) 02/18/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.

(10) 09/08/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.

(11) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.

(12) 09/08/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.

(13) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

(14) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

(15) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

As required under the relevant plan and option agreements, the strike price and number of shares subject to outstanding stock options were adjusted by 2.5% on April 7, 2015, the ex-dividend date, as a result of a special dividend that was declared by T. Rowe Price Group, Inc.

(17) 09/10/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

(18) 02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(19) 09/09/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(20) 02/19/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.